

FASER

ForwArd Search ExpeRiment

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18th May 2023

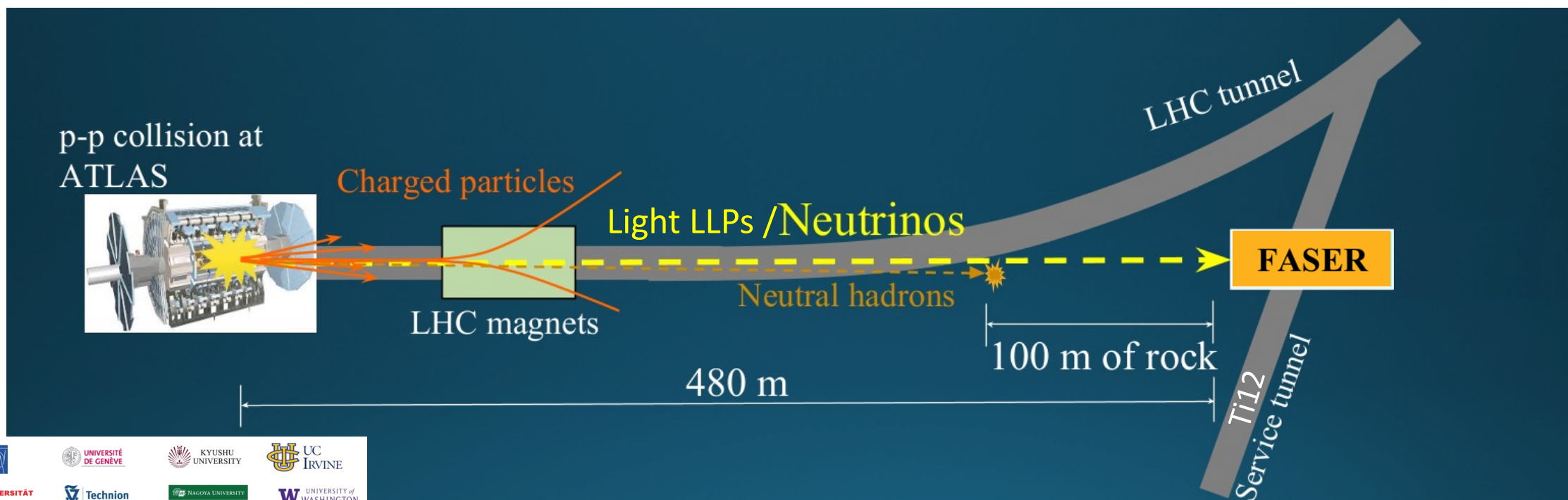
Liverpool HEP Annual meeting



UNIVERSITY OF
LIVERPOOL

FASER Experiment and Collaboration

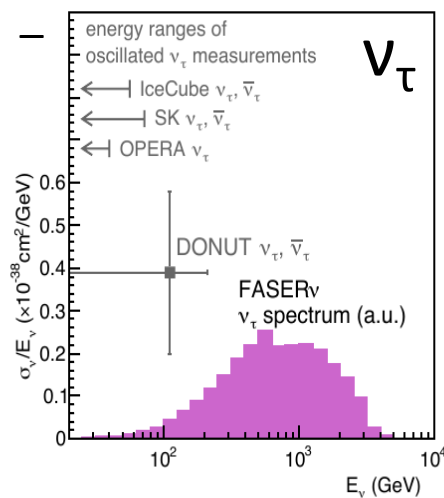
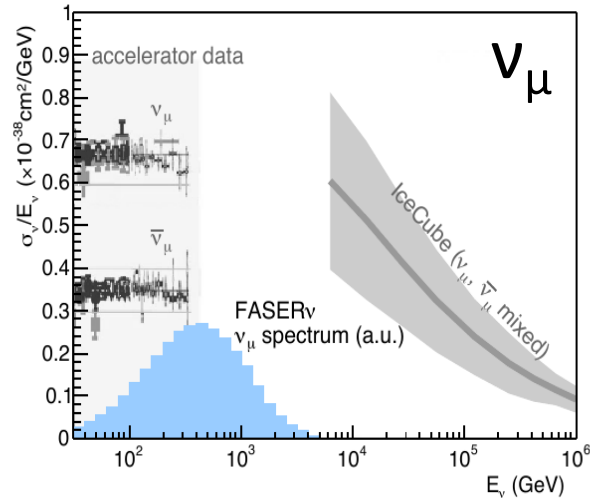
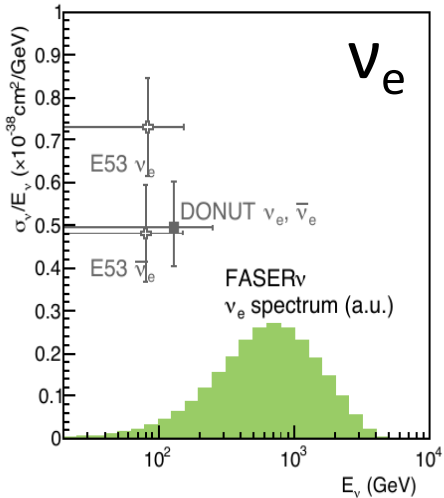
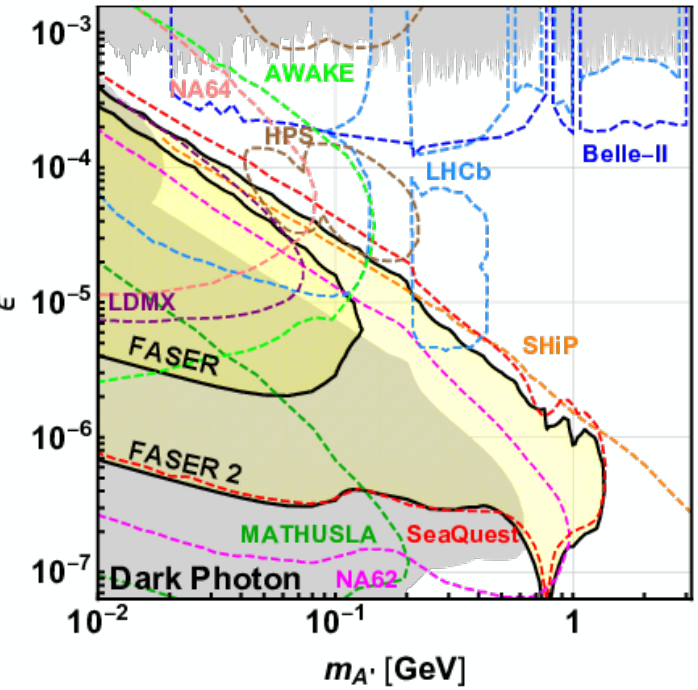
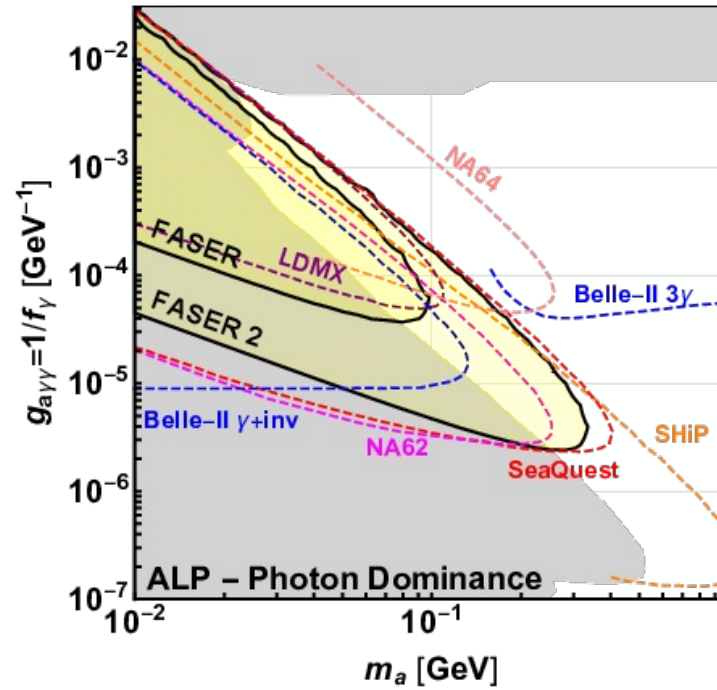
- New LHC run-3 experiment located 480 m downstream of ATLAS in Ti12 side service tunnel
 - Exploiting large LHC collision rate + forward-peaked light hadron production
 - Combination of LHC magnets and 100 m of rock shield most background



- 87 members from 24 institutes across 10 countries
 - 4 UK: Liverpool, Manchester, SUSSEX and RHUL

Physics Motivation

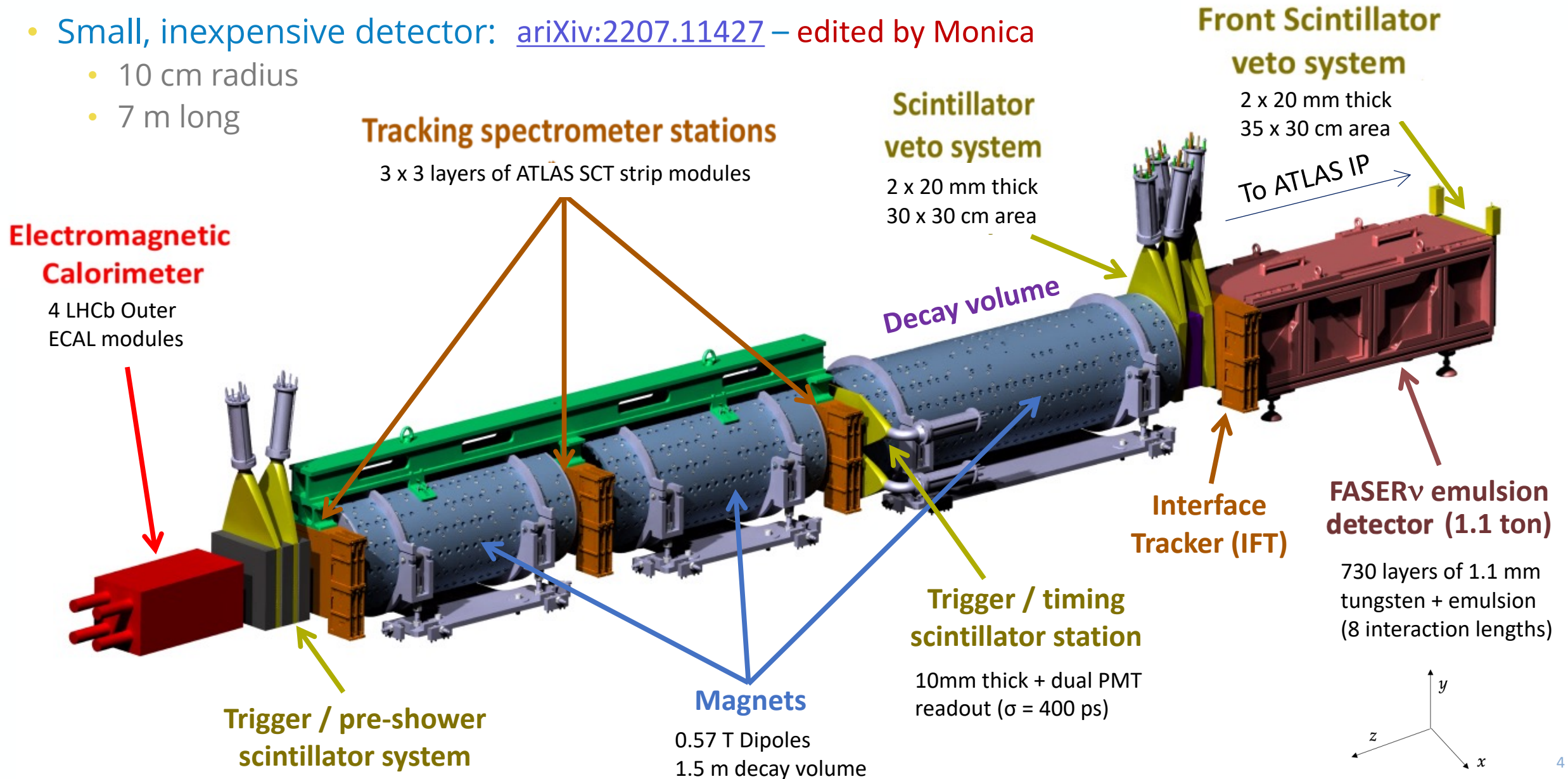
- Designed to search for light, weakly interacting particles from ATLAS IP
- $pp \rightarrow LLP$, LLP travels $\sim 480m$, $LLP \rightarrow ee, \gamma\gamma, \mu\mu, \dots$
- Probes large range of BSM models that predict long-lived particles
 - Dark photons, ALPS, HNL, B-L, ...
- Complementary to ATLAS/non-collider
 - Sensitivity to unique parameter space



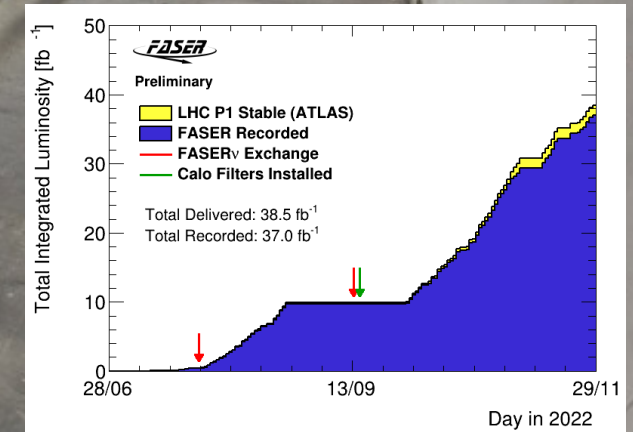
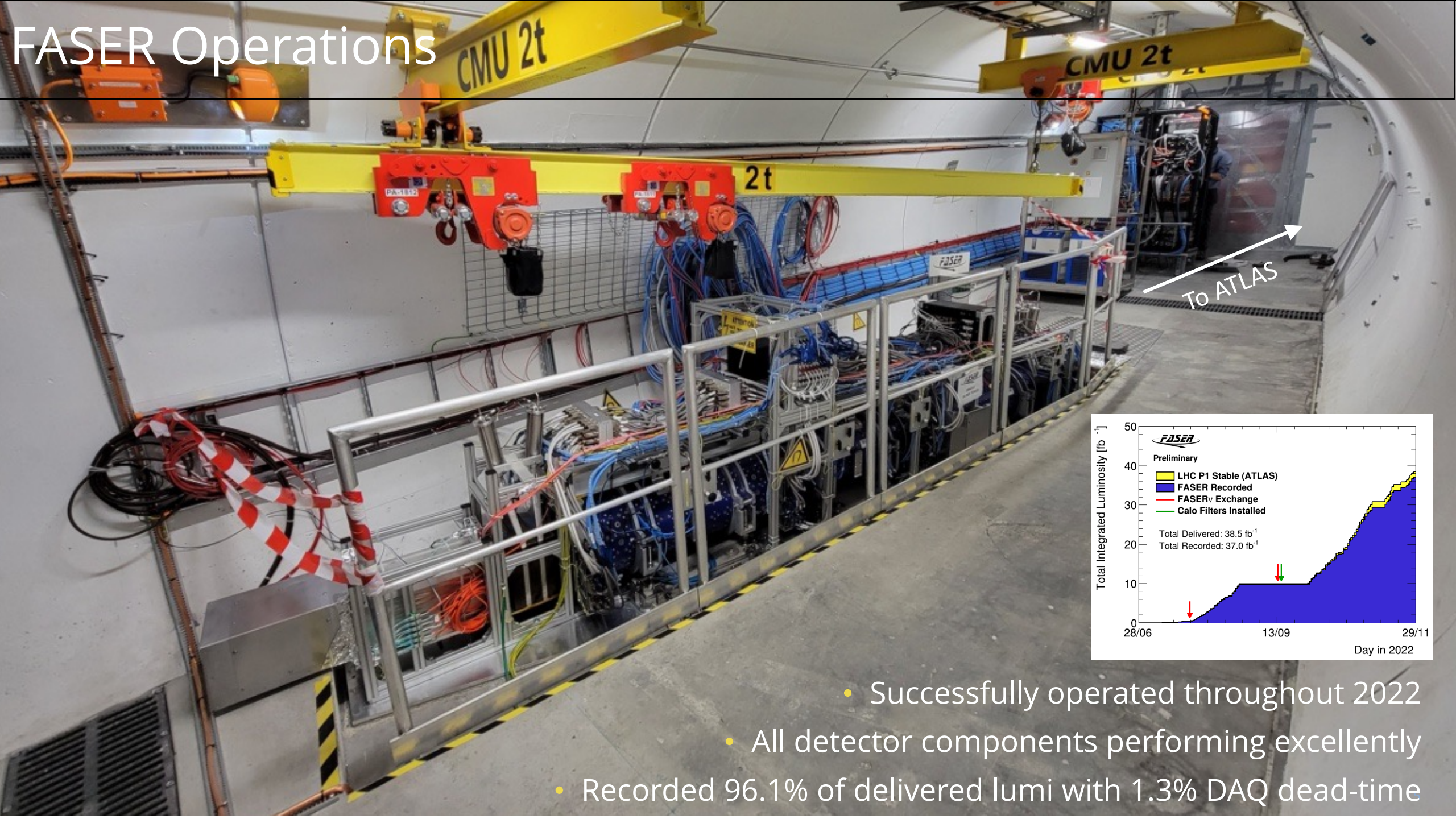
- FASER ν adds neutrino program
 - First observation of collider vs
- Cross-section measurement in E range from ~ 100 GeV to ~ 1 TeV
 - Highest E man-made neutrinos
 - Unconstrained phase space region

FASER Detector

- Small, inexpensive detector: [arXiv:2207.11427](https://arxiv.org/abs/2207.11427) – edited by Monica
 - 10 cm radius
 - 7 m long



FASER Operations



- Successfully operated throughout 2022
- All detector components performing excellently
- Recorded 96.1% of delivered lumi with 1.3% DAQ dead-time

Dark Photon (A') Analysis

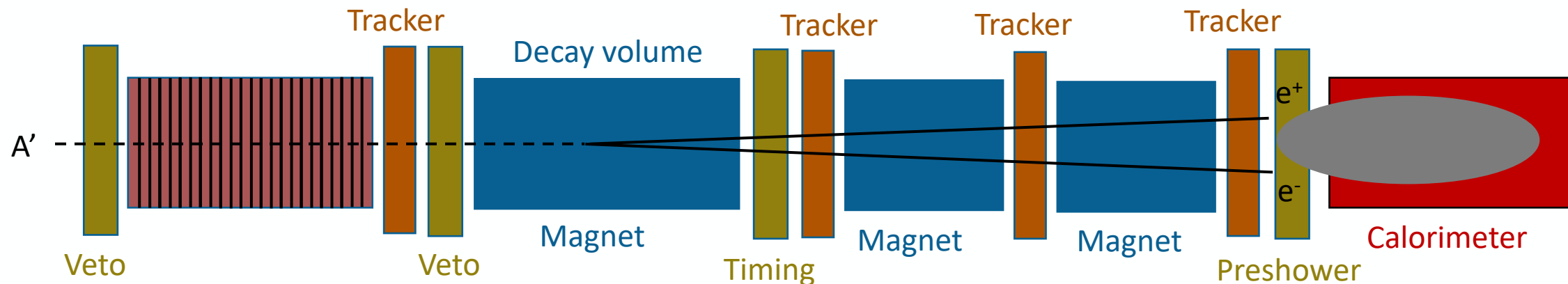
- Simple and robust $A' \rightarrow e^+e^-$ selection, optimised for discovery
 - Signal efficiency of $\sim 40\%$ across phase-space region sensitive to
 - Expected background, dominated by neutrino interactions, is $(2.02 \pm 2.4) \times 10^{-3}$

Leading Liverpool involvement from end-to-end.

- Carl PC and A' analysis contact
- See Lottie's talk for more details

1. Collision event with good data quality

4. Timing and preshower consistent with ≥ 2 MIPs



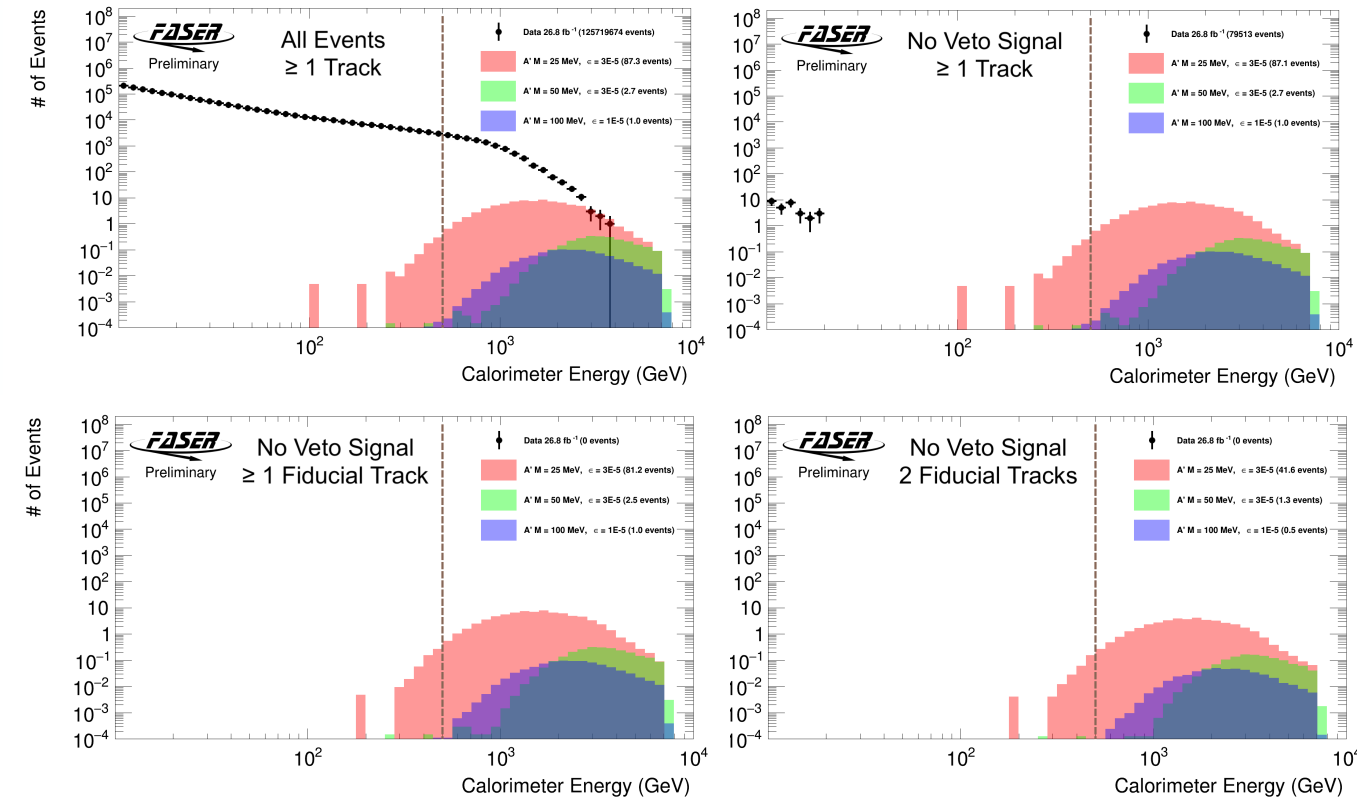
2. No signal (< 40 pC) in any veto scintillator

3. Exactly 2 good fiducial tracks

- $p > 20$ GeV and $r < 95$ mm
- Extrapolating to $r < 95$ mm at vetos

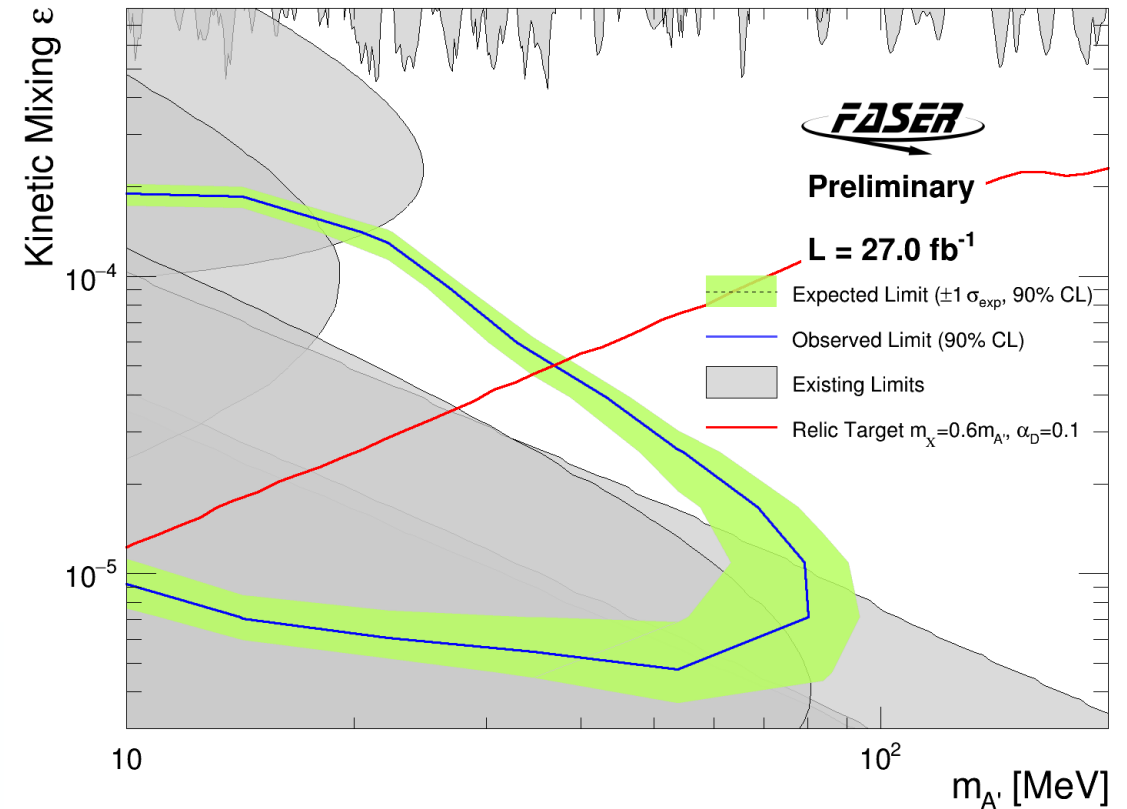
5. Calo $E > 500$ GeV

Dark Photon Results



- Based on this null results, FASER sets limits in previously unexplored parameter space!
 - Probing region interesting from thermal relic target

- No events in unblinded signal region
 - Not even any with ≥ 1 fiducial track

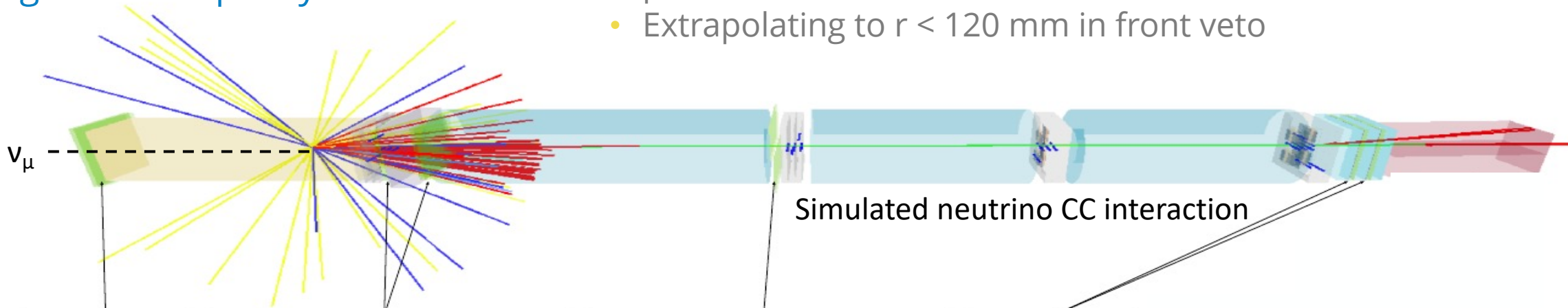


Neutrino Analysis

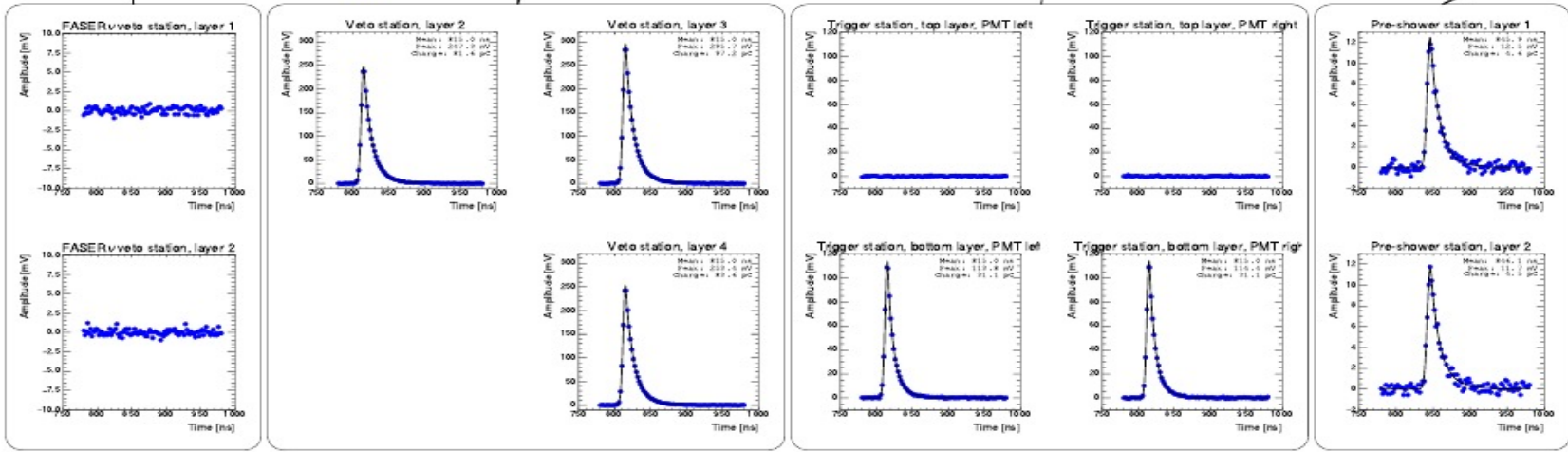
1. Collision event with good data quality

4. Exactly 1 good fiducial ($r < 95$ mm) track

- $p > 100$ GeV and $\theta < 25$ mrad
- Extrapolating to $r < 120$ mm in front veto



Simulated neutrino CC interaction



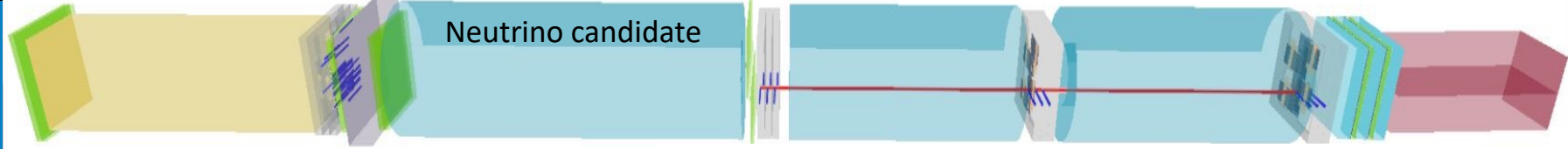
2. No signal (< 40 pc) in 2 front vetos

3. Signal (> 40 pC) in other 3 vetos

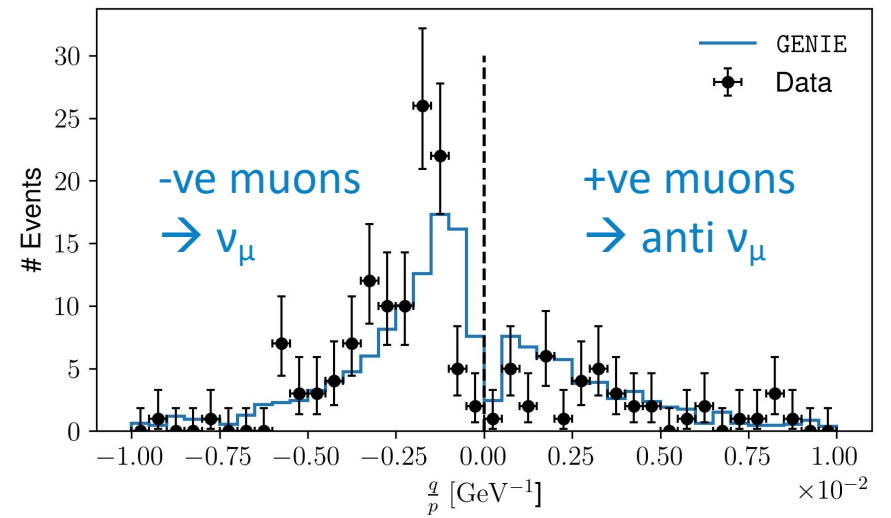
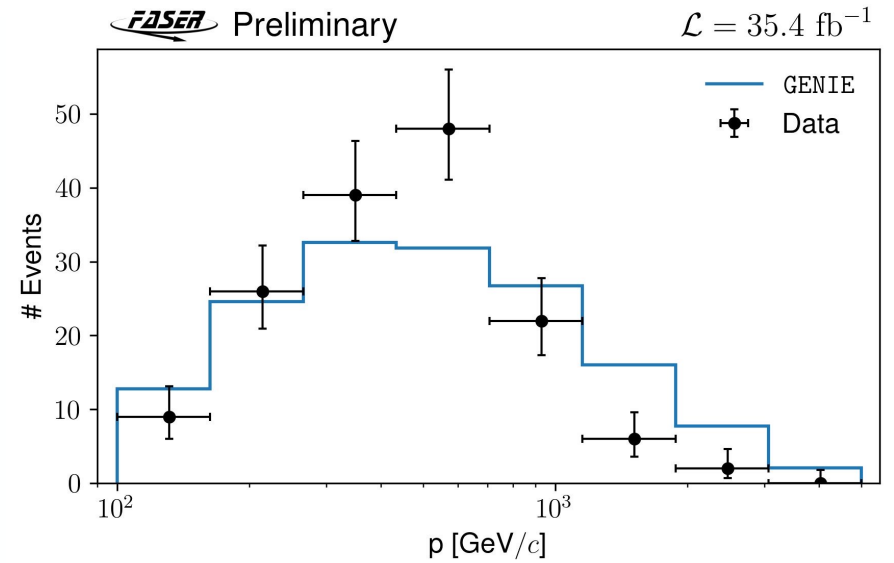
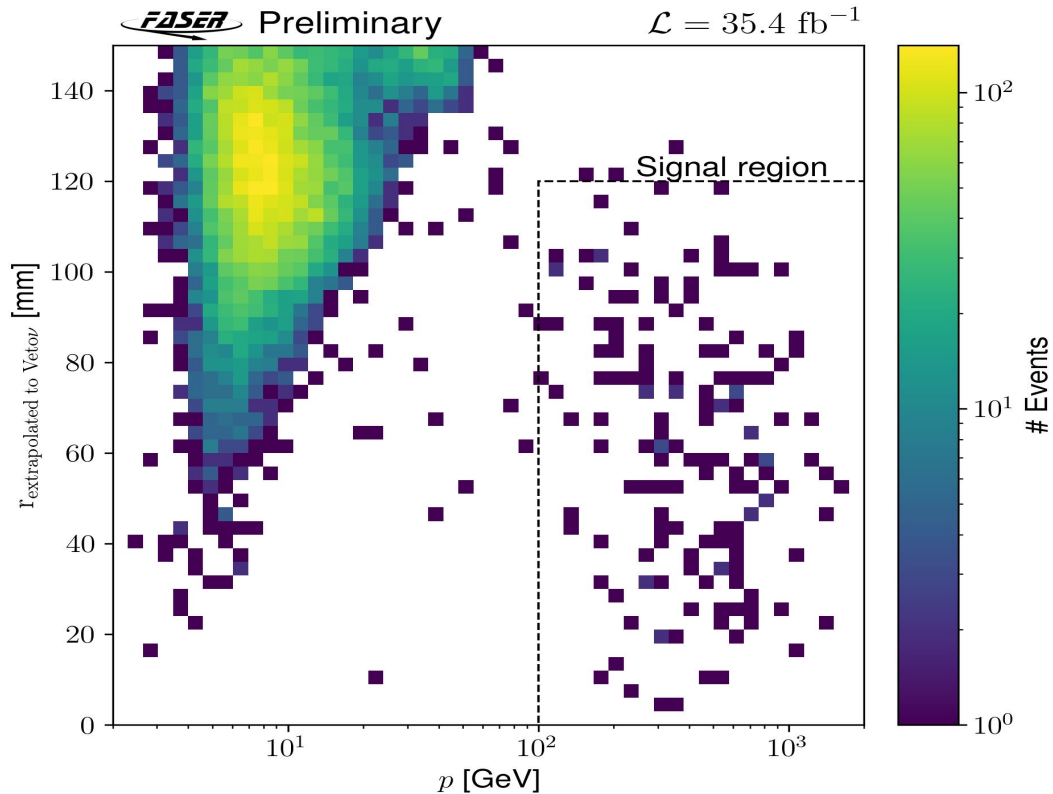
5. Timing and preshower consistent with ≥ 1 MIP

- Can detect CC ν_μ using just spectrometer and veto systems!
- Expect 151 ± 41 events from GENIE simulation
 - No experimental errors as not measuring x-sect
- Expect 0.19 ± 1.83 bkg
 - Mainly neutral hadrons

Neutrino Results



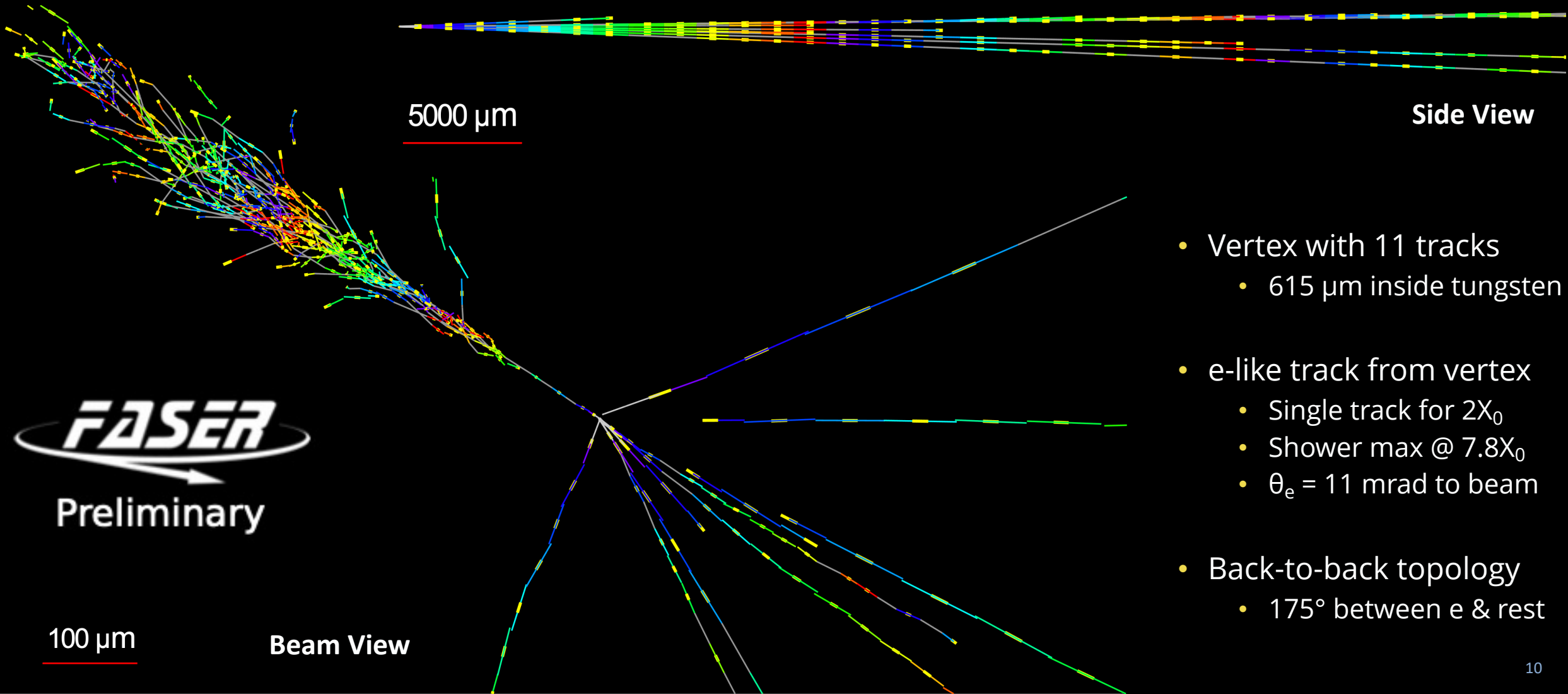
- Upon unblinding find 153 events with no veto signal
 - Just 10 events with one veto signal
- First *direct* detection of collider neutrinos!
 - With signal significance of 16σ
 - Accepted by PRL [arXiv:2303.14185](https://arxiv.org/abs/2303.14185)



- See both ν_μ and anti- ν_μ (with more ν_μ)
 - Momentum of μ extends to high values ₉

Neutrinos in FASERv

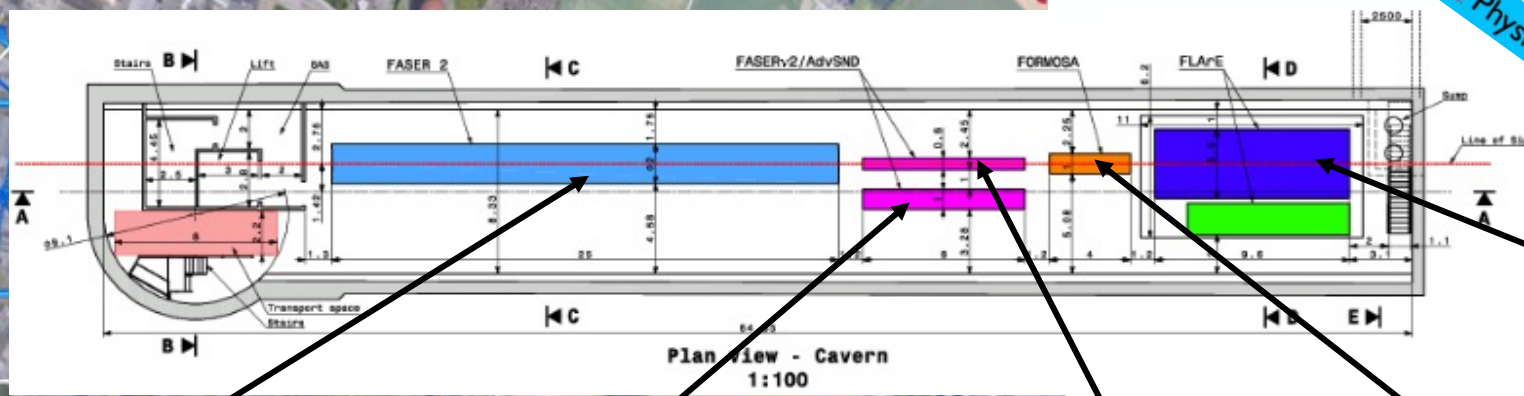
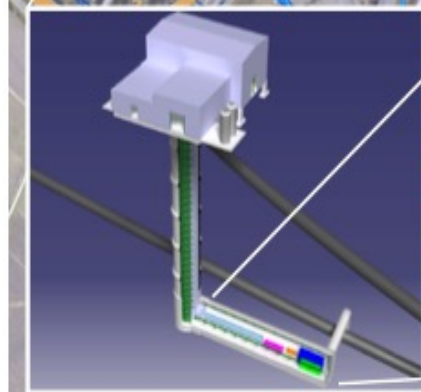
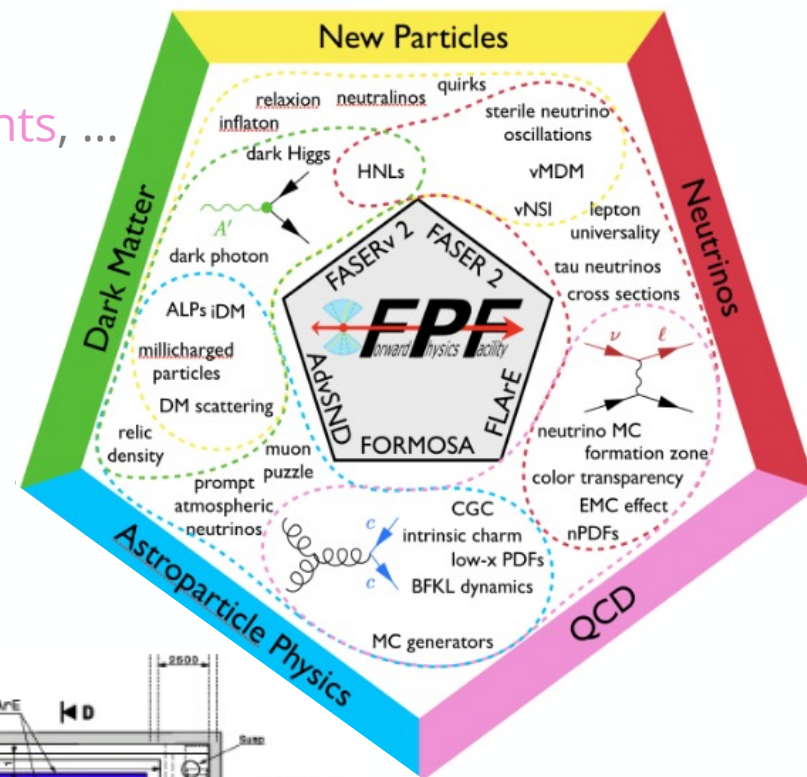
- Analysis of FASERv emulsion detector underway
 - Have multiple candidates including highly ν_e -like CC event



- Vertex with 11 tracks
 - 615 μm inside tungsten
- e-like track from vertex
 - Single track for $2X_0$
 - Shower max @ $7.8X_0$
 - $\theta_e = 11$ mrad to beam
- Back-to-back topology
 - 175° between e & rest

Forward Physics Facility (FPF)

- Proposed new dedicated forward physics facility at HL-LHC
 - Broad- physics program: **BSM searches**, **ν physics**, **QCD measurements**, ...



FLArE: LAr-based neutrino detector

[FPF White Paper](#)

FASER2: spectrometer for BSM LLP searches

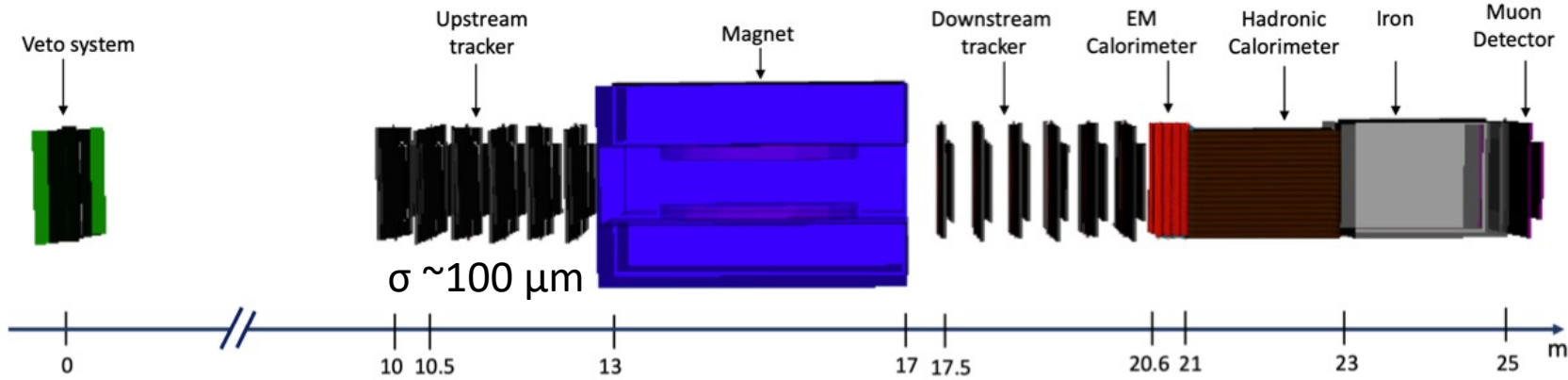
AdvSND: electronic neutrino detector

FASERv2: emulsion neutrino detector

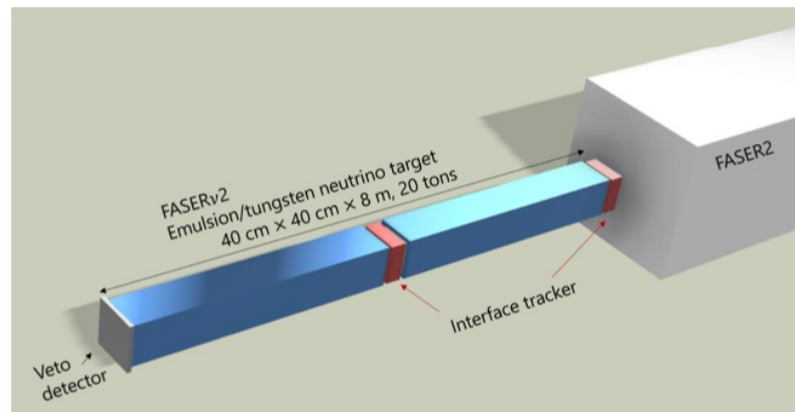
FORMOSA: Plastic scintillator for BSM

FASER(ν)2

- On-axis spectrometer with 4 Tm superconducting magnet
 - Longer: increased target and decay volume ($L = 1.5 \text{ m} \rightarrow 10 \text{ m}$)
 - Wider: increased sensitivity to HF production ($R = 0.1 \text{ m} \rightarrow 1 \times 3 \text{ m}$)

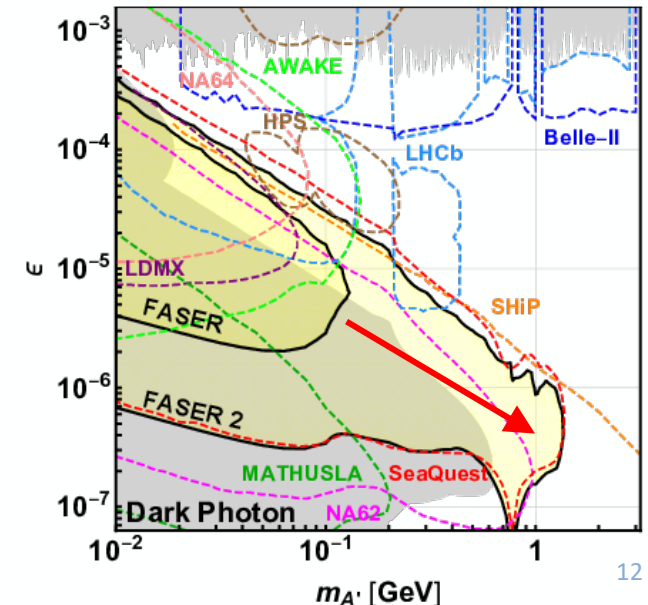


- Tracker: LHCb-like SciFi detector
 - Potential higher resolution silicon detector for 1st layer / central region
- Calorimeter: IDEA-like dual-readout
- Neutrino: 20x larger W + emulsion
 - ~50 nm intrinsic position resolution



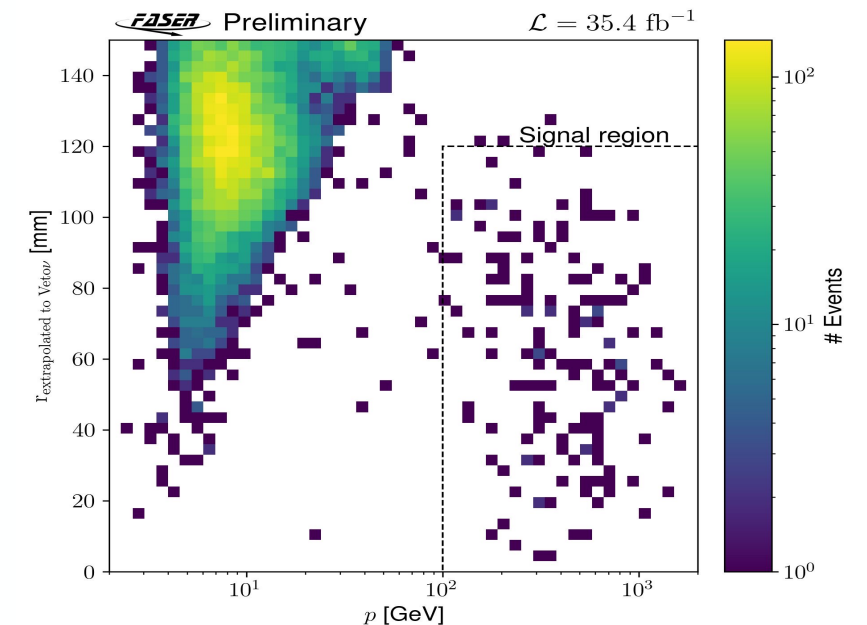
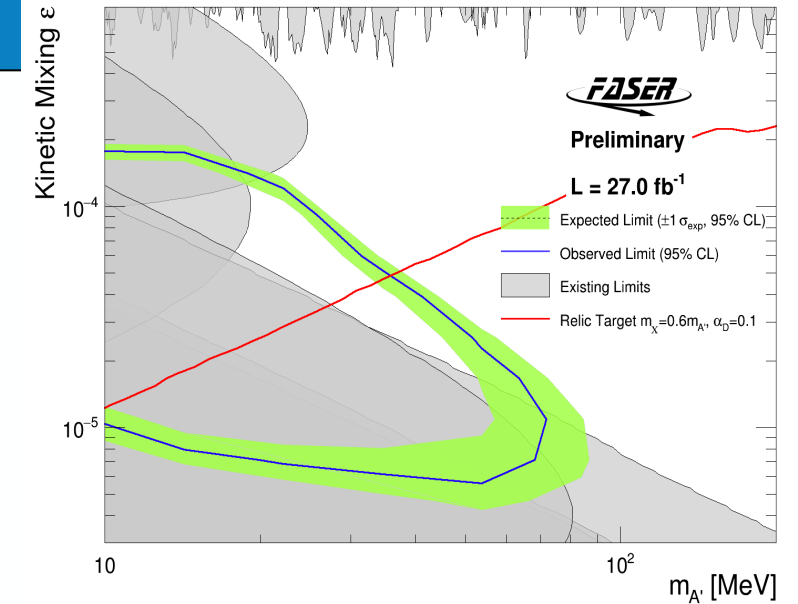
- Wider LLP physics program
 - Probing up to higher mass

Benchmark Model	FASER	FASER 2
Dark Photons	✓	✓
$B - L$ Gauge Bosons	✓	✓
$L_i - L_j$ Gauge Bosons	—	—
Dark Higgs Bosons	—	✓
Dark Higgs Bosons with hSS	—	✓
HNLs with e	—	✓
HNLs with μ	—	✓
HNLs with τ	✓	✓
ALPs with Photon	✓	✓
ALPs with Fermion	—	✓
ALPs with Gluon	✓	✓
Dark Pseudoscalars	—	✓



Summary + Outlook

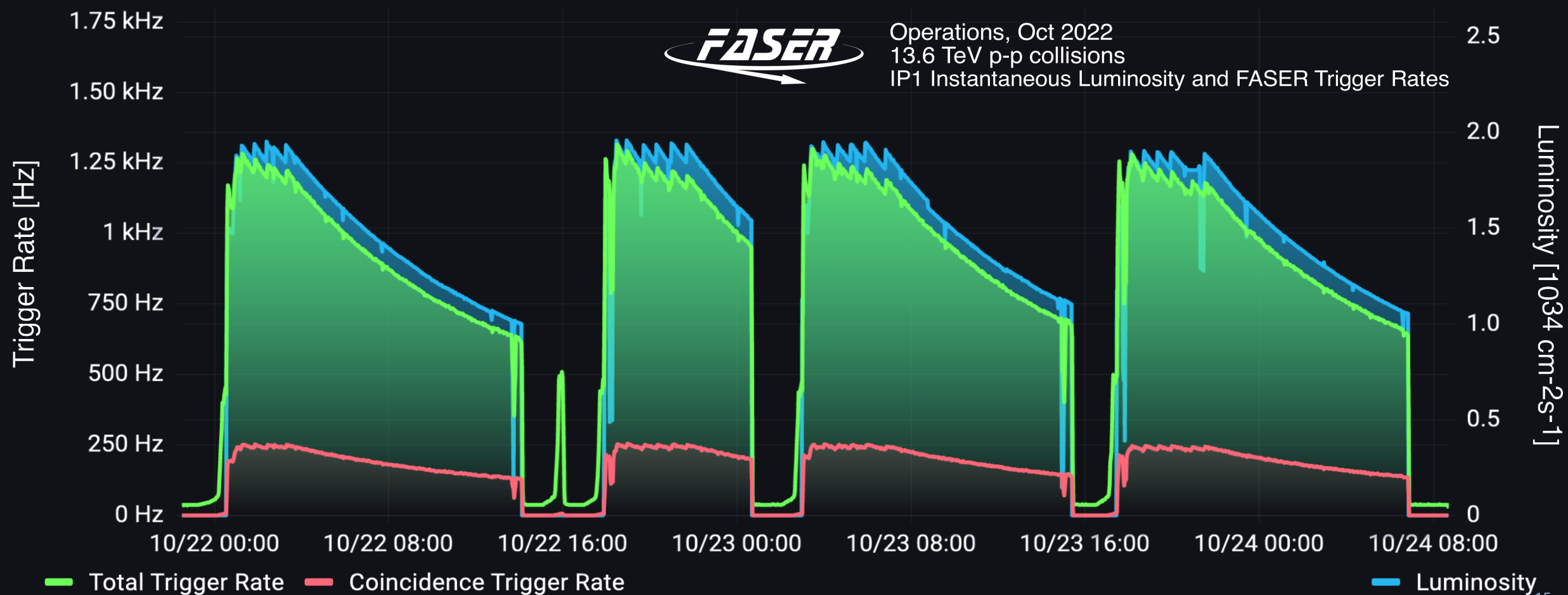
- **FASER successfully took data in first year of Run 3**
 - Running with fully functional detector & very good efficiency
- **Excluded A' in region of low mass and kinetic mixing**
 - Probes new territory in interesting thermal-relic region
- **Reconstructed ~ 150 ν_μ CC interactions in spectrometer**
 - Opens new window for high-energy ν study
- **Liverpool played a major role across many areas**
 - From data-taking & software development to final analysis
- **More searches and neutrino measurements to come**
 - Including first results from emulsion detector
 - Looking forward to up to 10x more LHC run-3 data
- **Proposal for dedicated HL-LHC forward physics facility**
 - Liverpool potentially interested in development of tracker
 - Discussing with other UK institutes towards Sol to STFC



Backup Slides

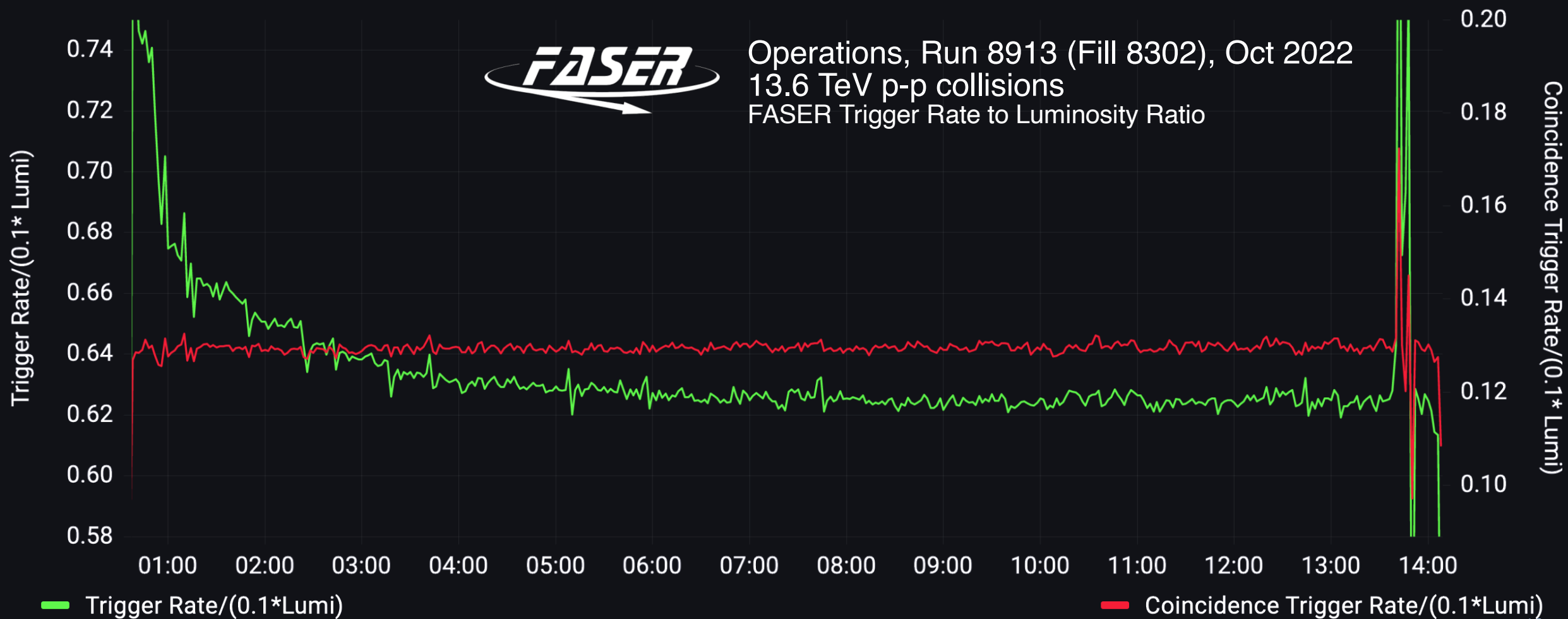
Detector Performance: Trigger + DAQ

- DAQ running smoothly up to 1.3 kHz with deadtime only 1.3%
 - Only two stops in data-taking due to DAQ failures



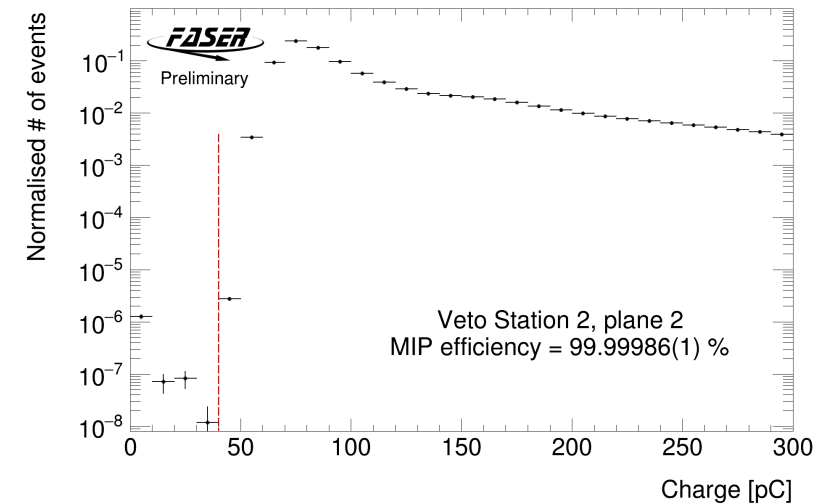
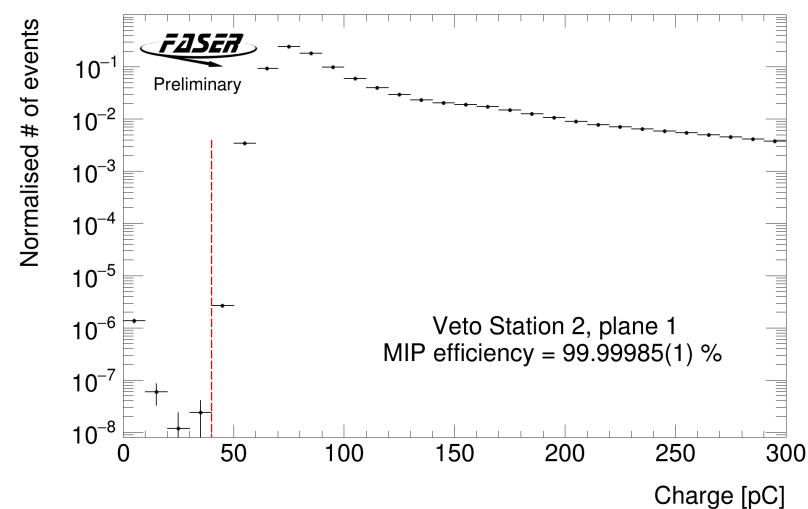
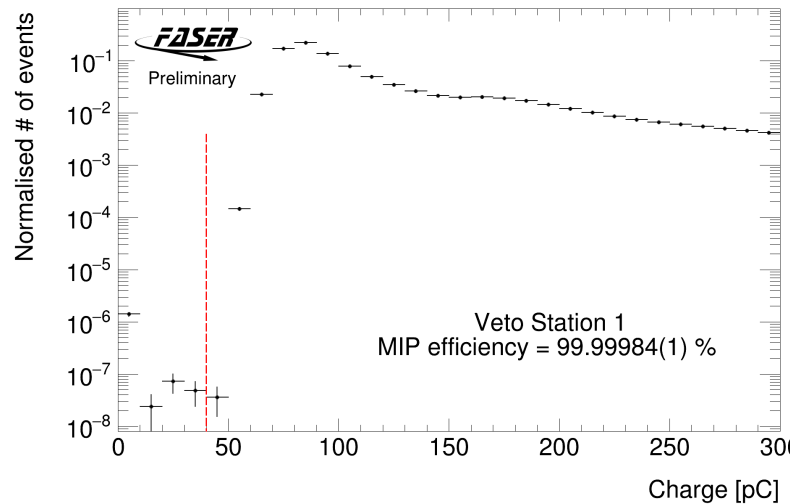
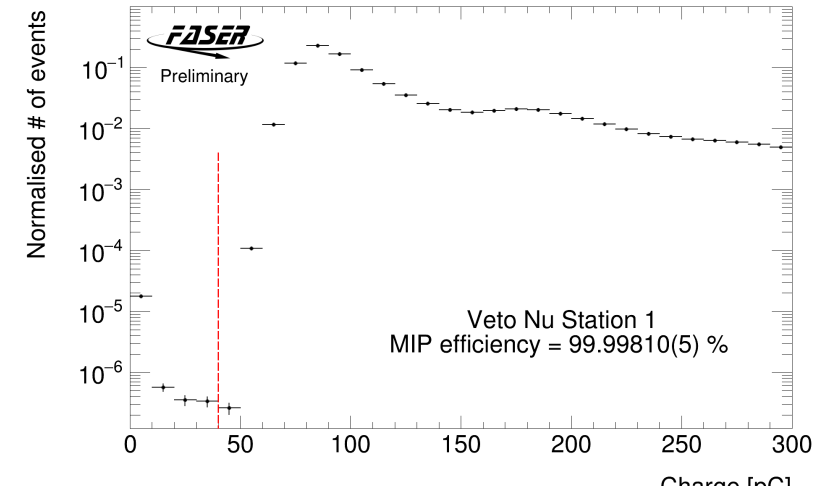
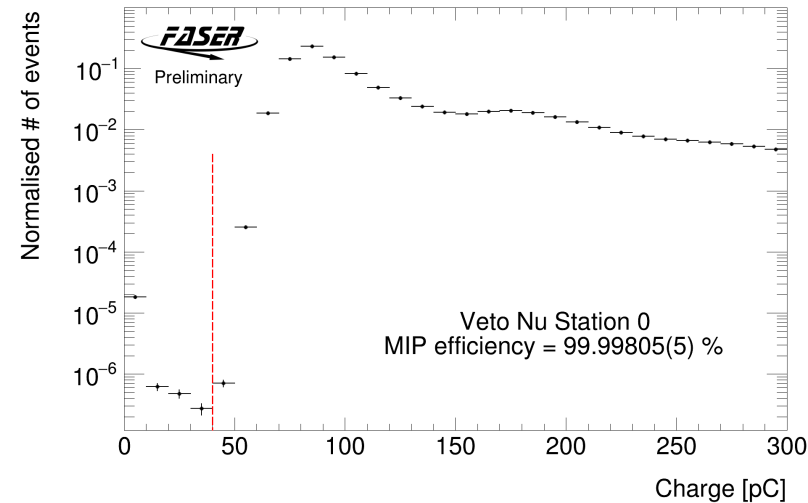
Detector Performance: Trigger + DAQ (2)

- Total trigger rate falls off faster than luminosity profile during run
 - But coincidence trigger rate flat wrt lumi



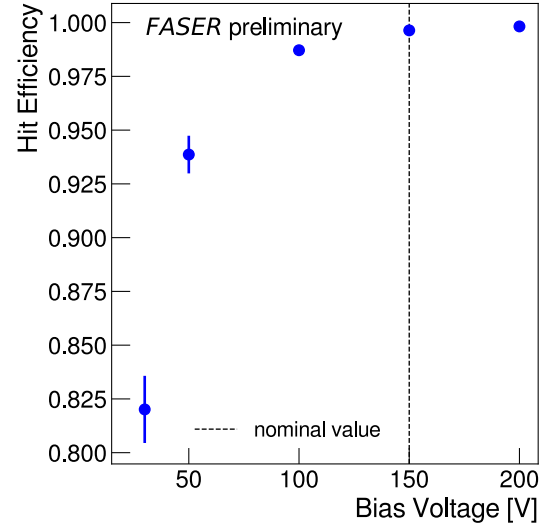
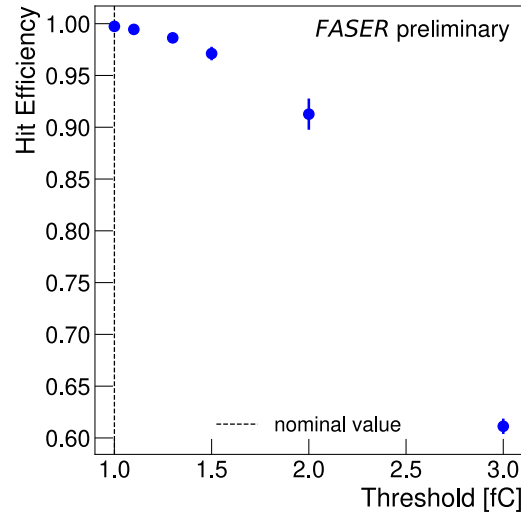
Detector Performance: Veto Scintillators

- Veto efficiency measured extrapolating tracks triggered by timing scint. to corresponding layer
 - No requirement on other scintillator layers
 - Layer efficiencies found to be uncorrelated
 - All layers found to have inefficiencies $< 2 \times 10^{-5}$

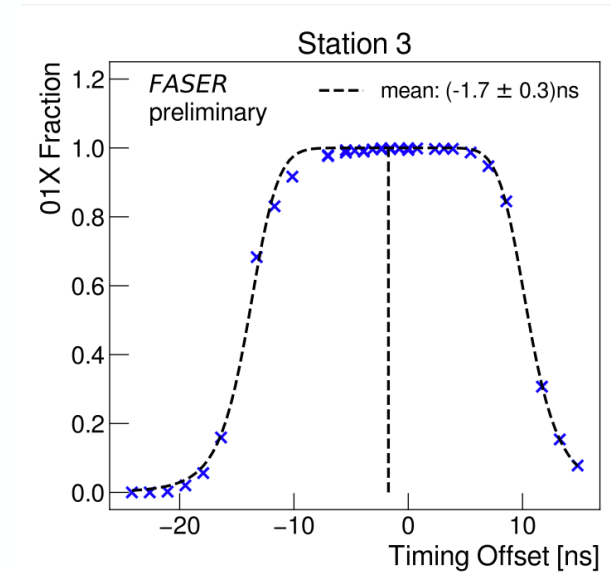


Detector Performance: Tracker

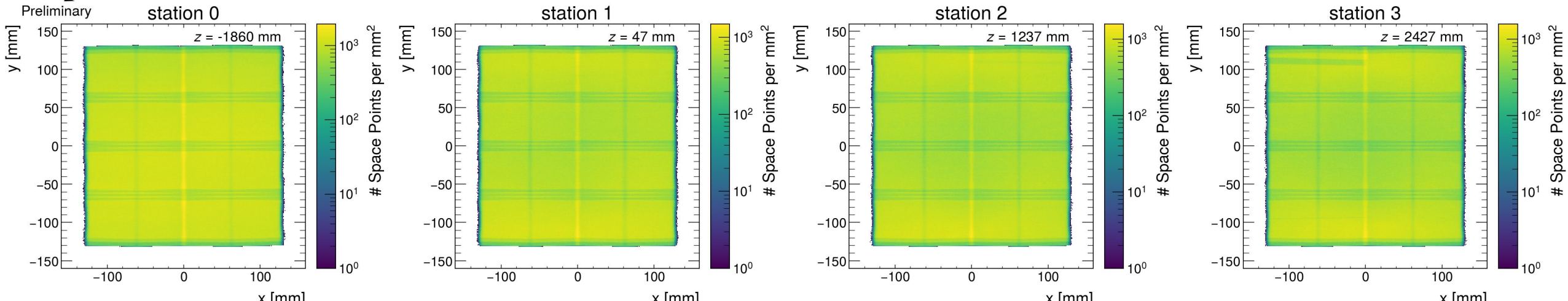
- Hit efficiency 99.64% @ 150 V bias and 1 fC threshold



- Tracker fully timed in wrt LHC clock

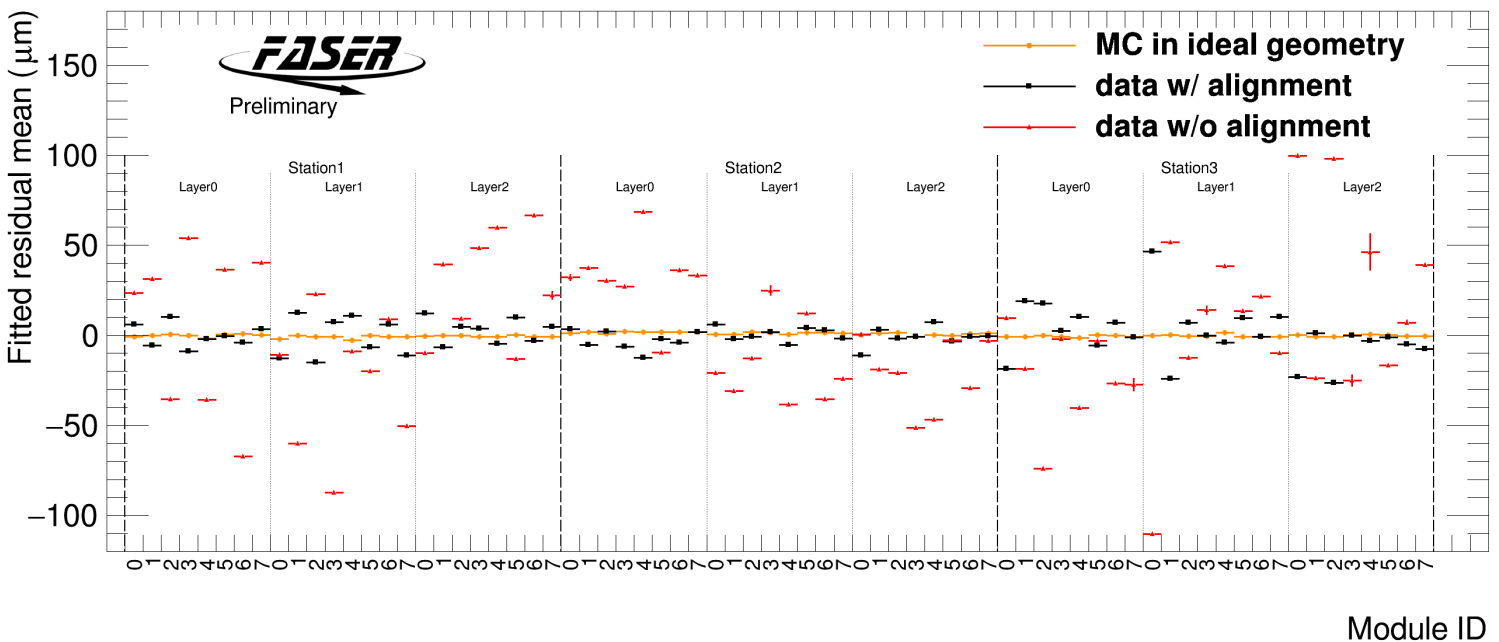
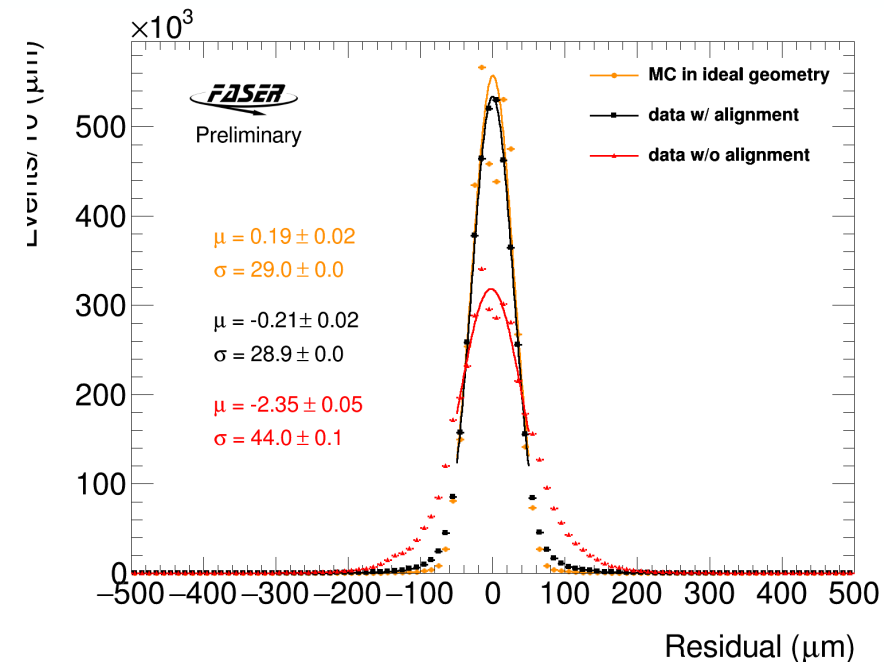
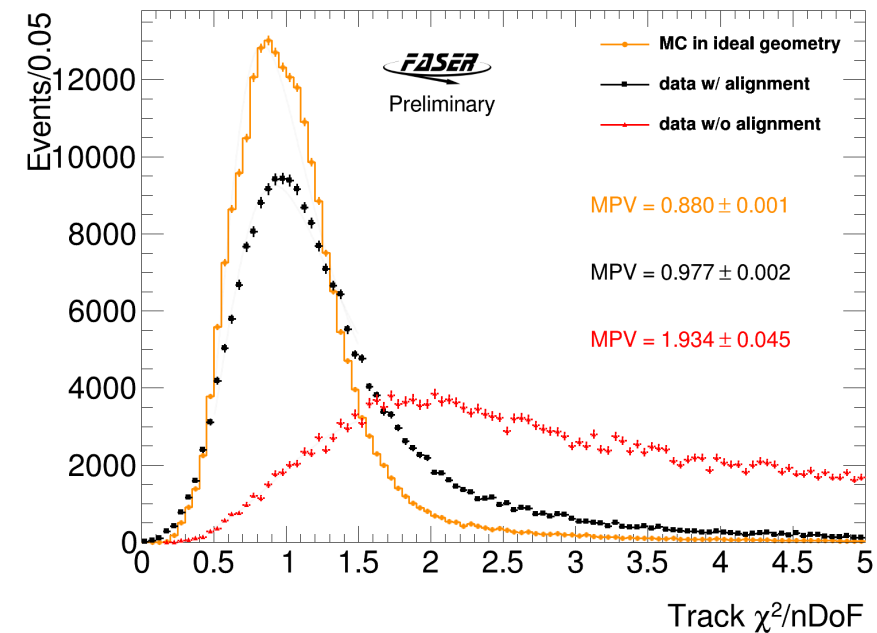


- <0.5% dead/noisy strips (inefficiency at edges expected)



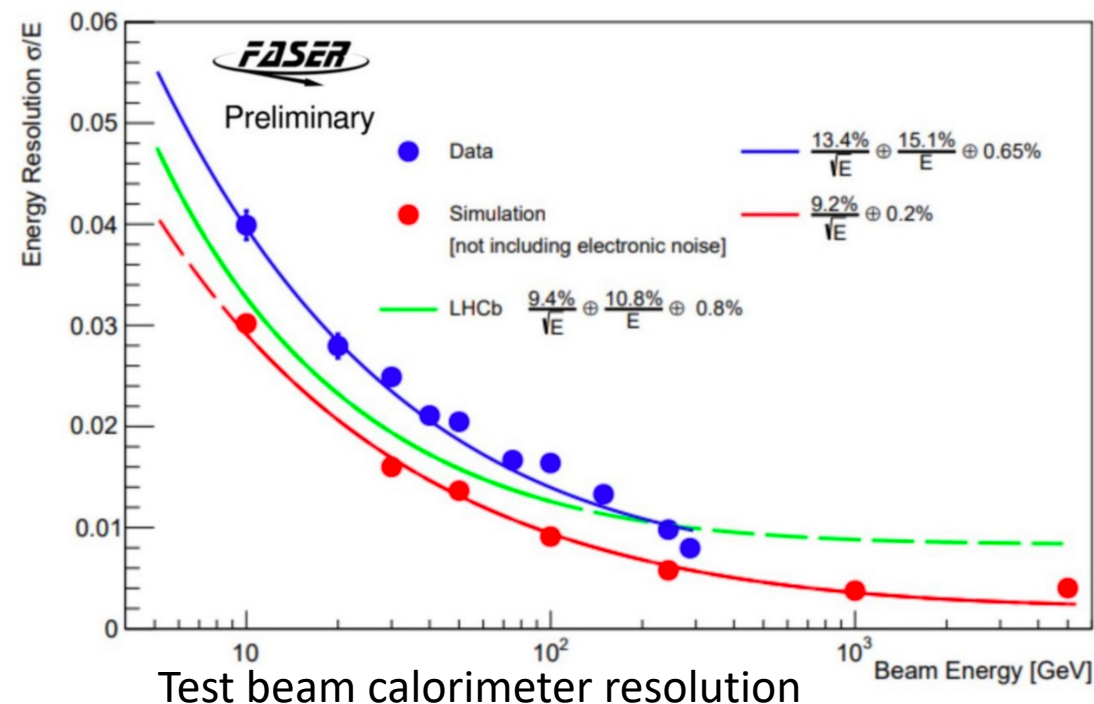
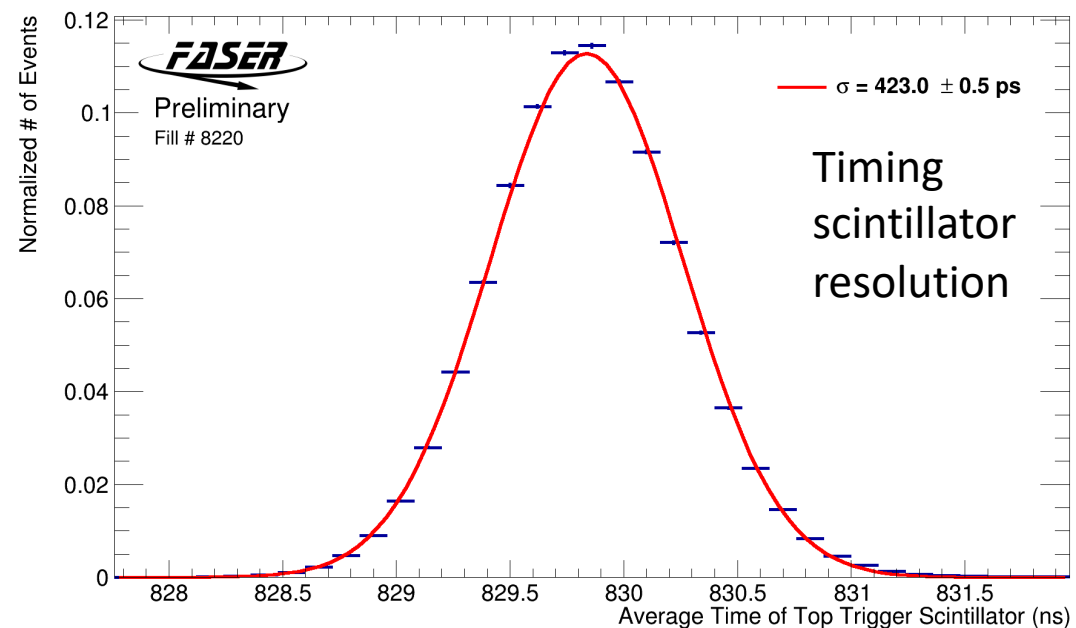
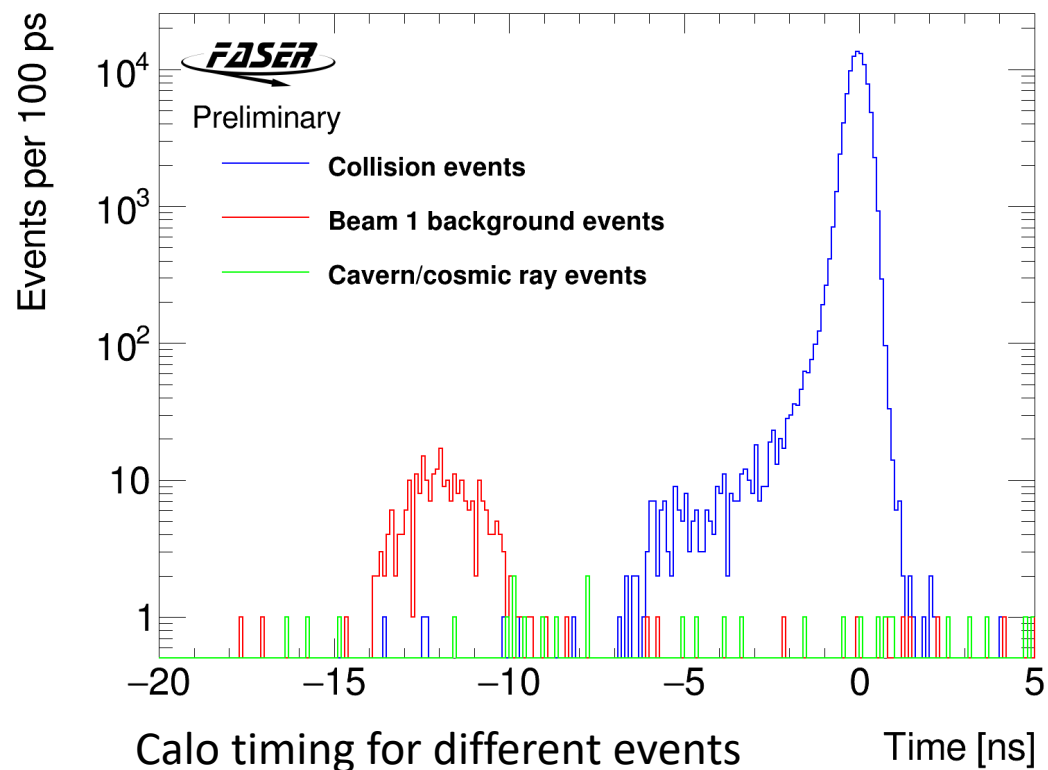
Detector Performance: Alignment

- Tracker aligned using iterative local χ^2 method
 - Validated using simulation with misalignment
- Currently only aligning two most sensitive parameters
 - Vertical shift and in-plane rotation
- Aligned residuals close to ideal geometry simulation



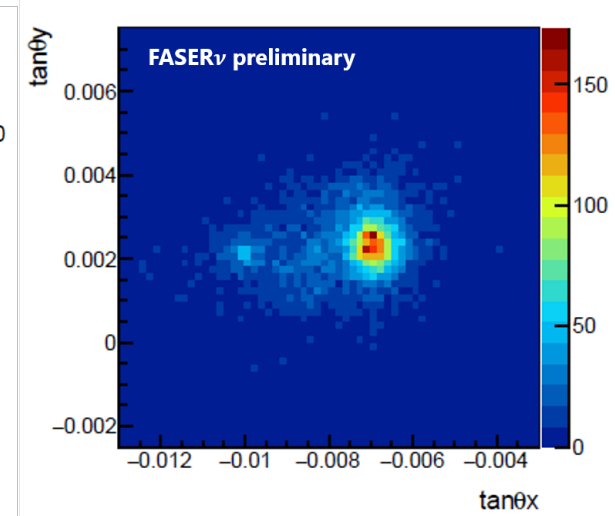
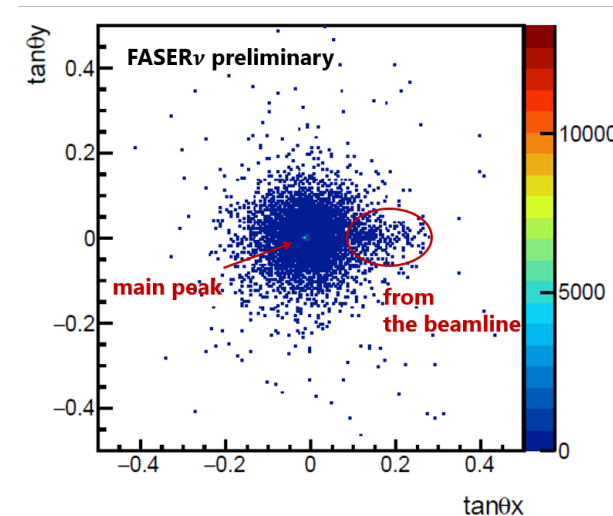
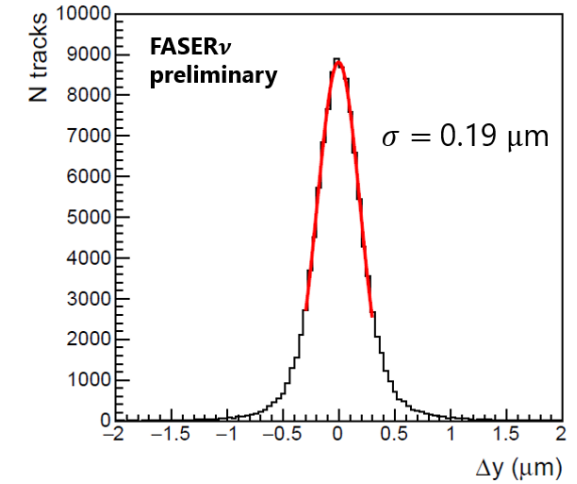
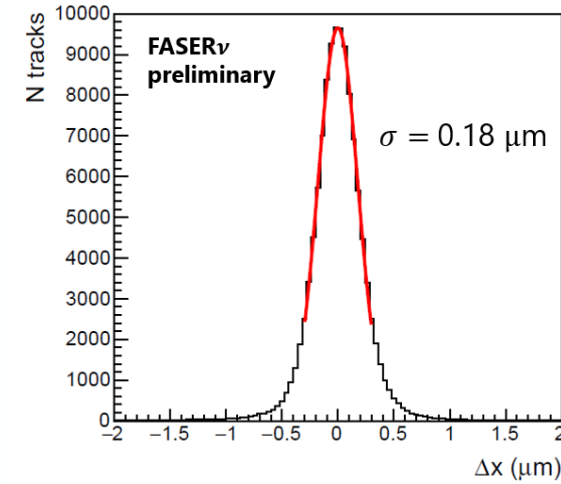
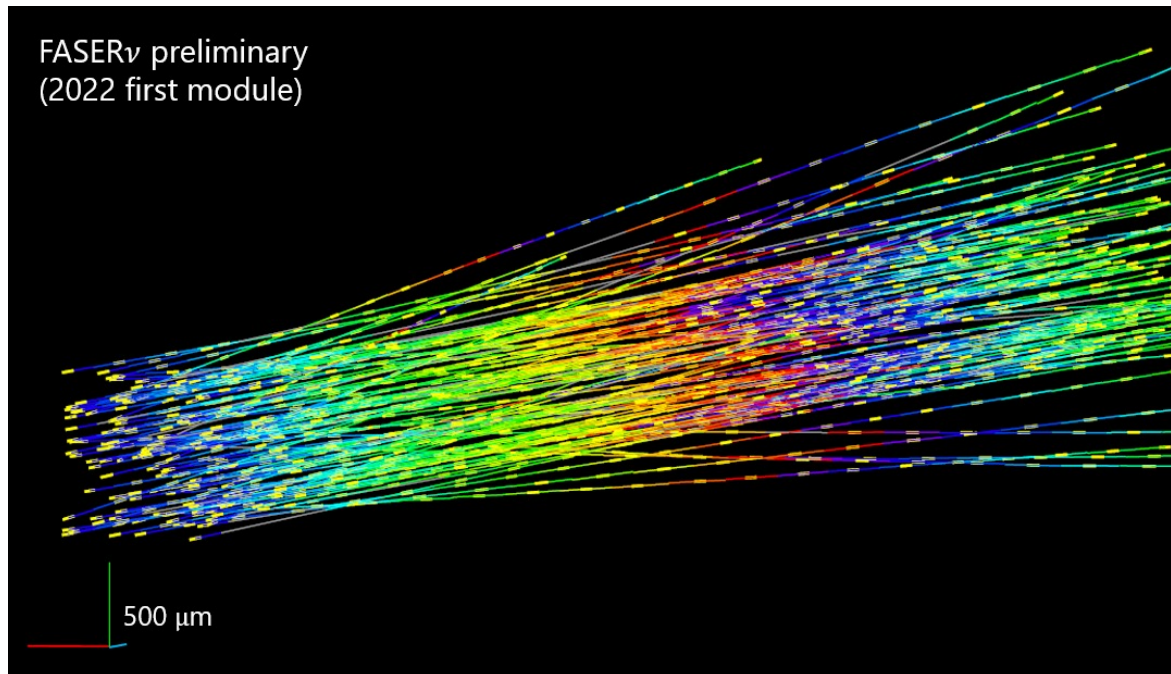
Detector Perf.: Timing and Calo

- Calorimeter resolution measured in test beam
 - Better than 1% at high energy
- Precision timing of both scintillator and calorimeter
 - Not used in current analyses



Detector Performance: Emulsion

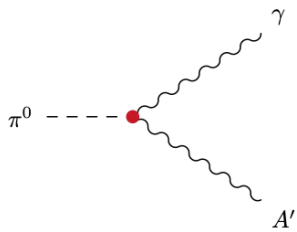
- Track multiplicity and angular distribution measured in initial partial FASER ν emulsion
 - Consistent with FLUKA simulation
- Excellent hit resol ($0.2 \mu\text{m}$) after layer alignment



Dark Photon Search

- Several new physics models propose a hidden sector
 - With a mediator acting as a portal to the SM
- One of best motivated is extra U(1) symmetry
 - Gives rise to additional vector field: dark photon (A'), weakly coupling to SM via kinetic mixing (ϵ) with SM γ

- MeV A' s produced mainly in meson decays at LHC



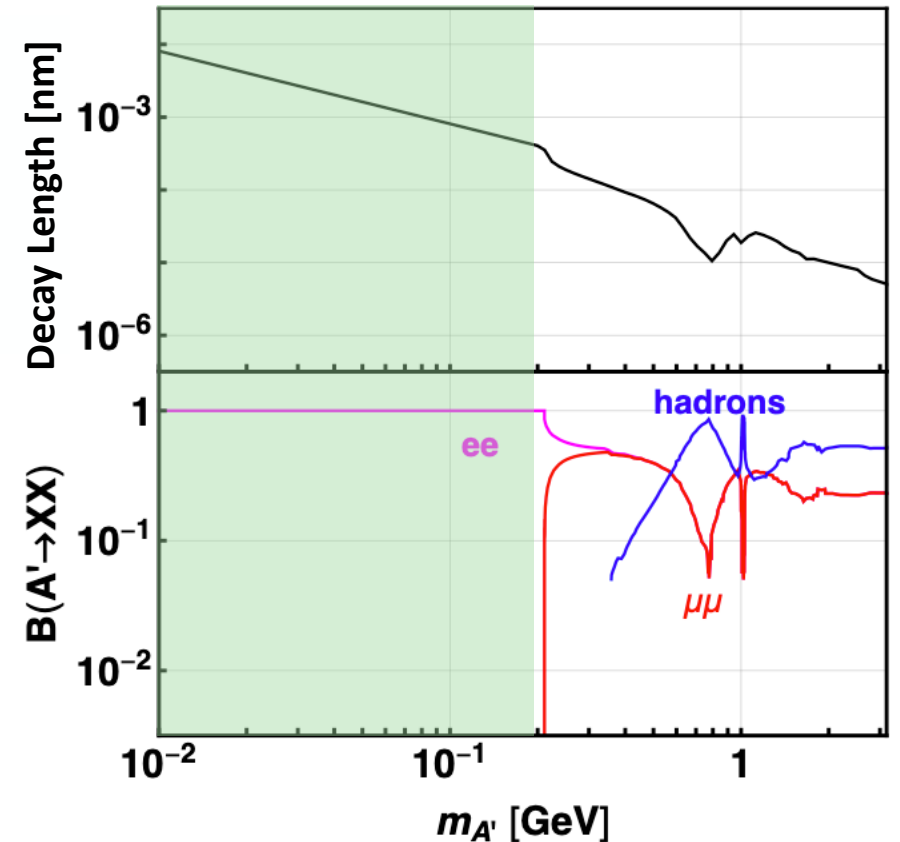
$$B(\pi^0 \rightarrow A' \gamma) = 2\epsilon^2 \left(1 - \frac{m_{A'}^2}{m_{\pi^0}^2}\right)^3 B(\pi^0 \rightarrow \gamma \gamma)$$

- FASER targets small ϵ , where A' has long decay length

$$\bar{d} = c \frac{1}{\Gamma_{A'}} \gamma_{A'} \beta_{A'} \approx (80 \text{ m}) B_e \left[\frac{10^{-5}}{\epsilon}\right]^2 \left[\frac{E_{A'}}{\text{TeV}}\right]$$

- Below $2m_\mu$ A' has 100% decay to e^+e^- pair

$$\mathcal{L} \supset \frac{1}{2} m_{A'}^2 A'^2 - \epsilon e \sum_f q_f \bar{f} A' f$$



Dark Photon Signal

- $A' \rightarrow e^+e^-$ decays in FASER volume simulated with FORESEE
 - π^0 and η via EPOS-LHC generator
 - Subdominant dark bremsstrahlung via FWW

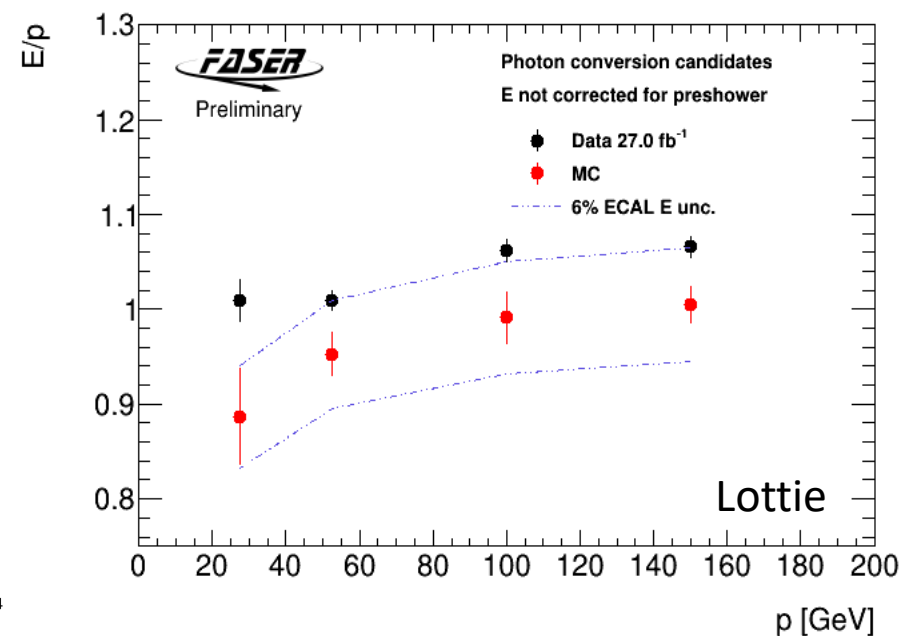
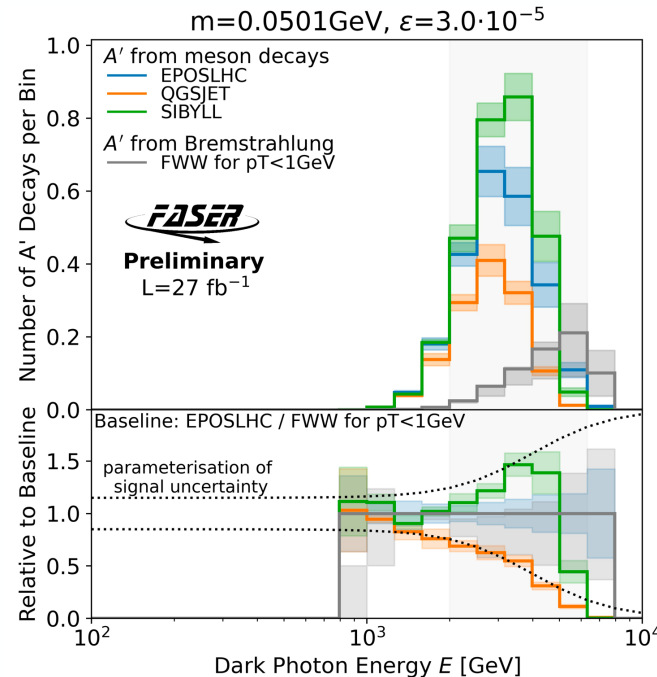
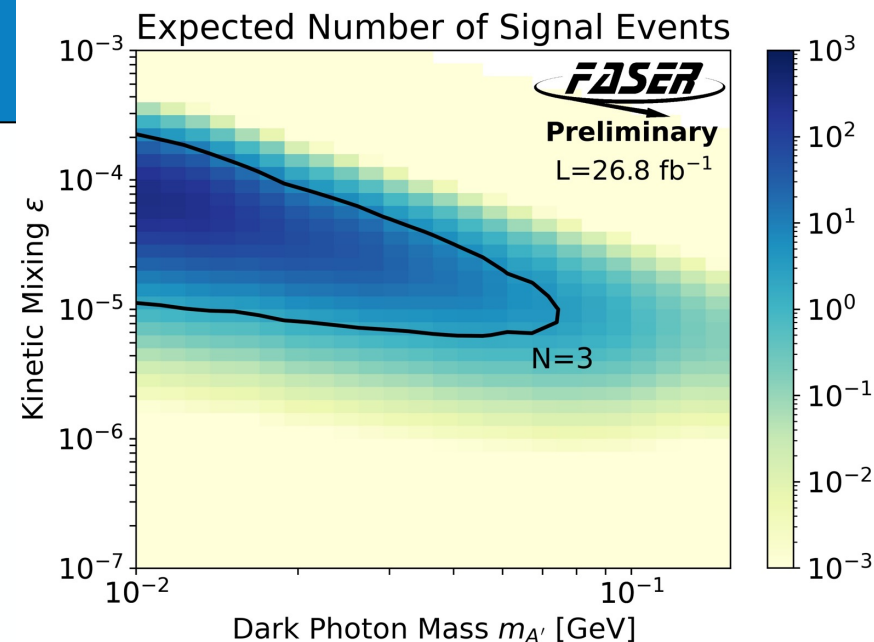
- Generator uncertainty from difference to QGSJET/SIBYLL

- Parameterised based on A' energy

$$\frac{\Delta N}{N} = \frac{0.15 + (E_{A'}/4 \text{ TeV})^3}{1 + (E_{A'}/4 \text{ TeV})^3}$$

- Experimental uncertainties

- Tracking efficiency
 - 15% for close-by tracks
 - Estimated from overlay
- Calo E scale
 - 6% at 500 GeV
 - Cross-checked with E/p
- Momentum scale/resol.
 - 5% each
 - Negligible effect

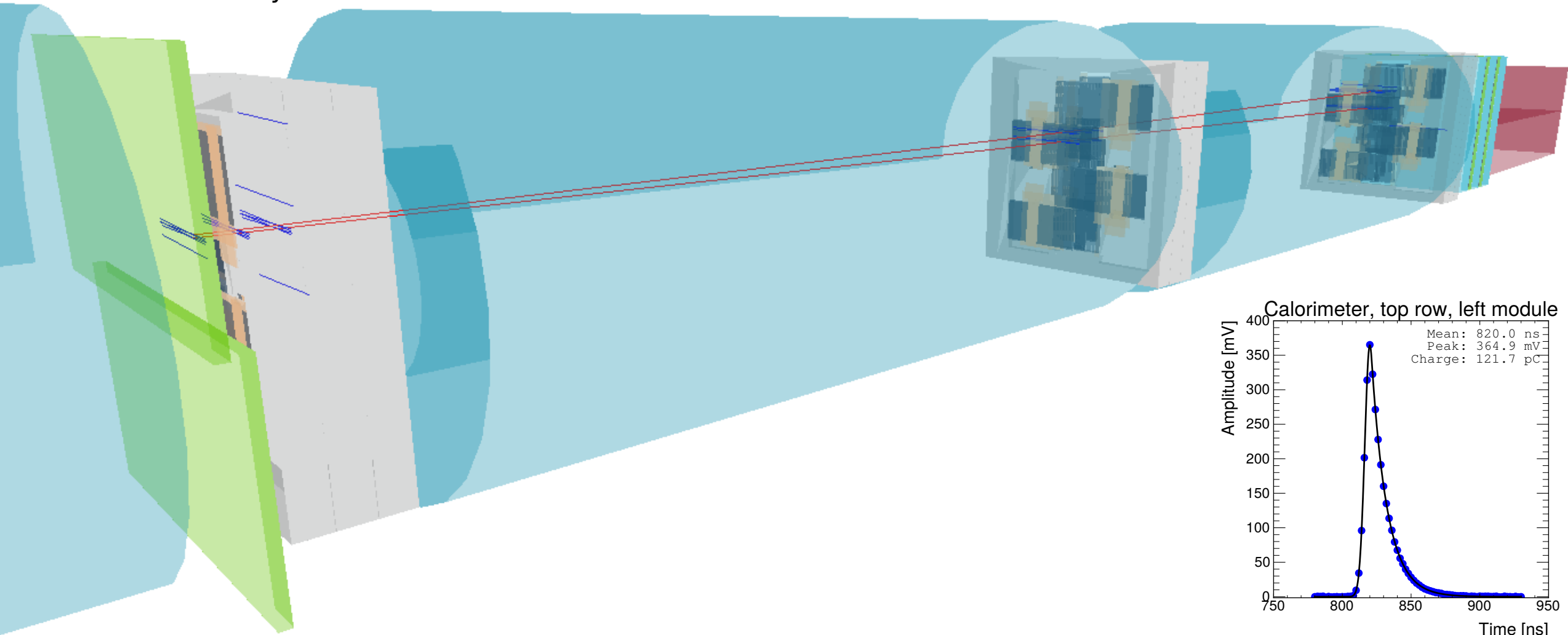


Dark Photon: Event Display (1)



Calorimeter Energy: 645.2 GeV
Momentum: 420.4 GeV, 21.5 GeV

- Simulated dark photon event



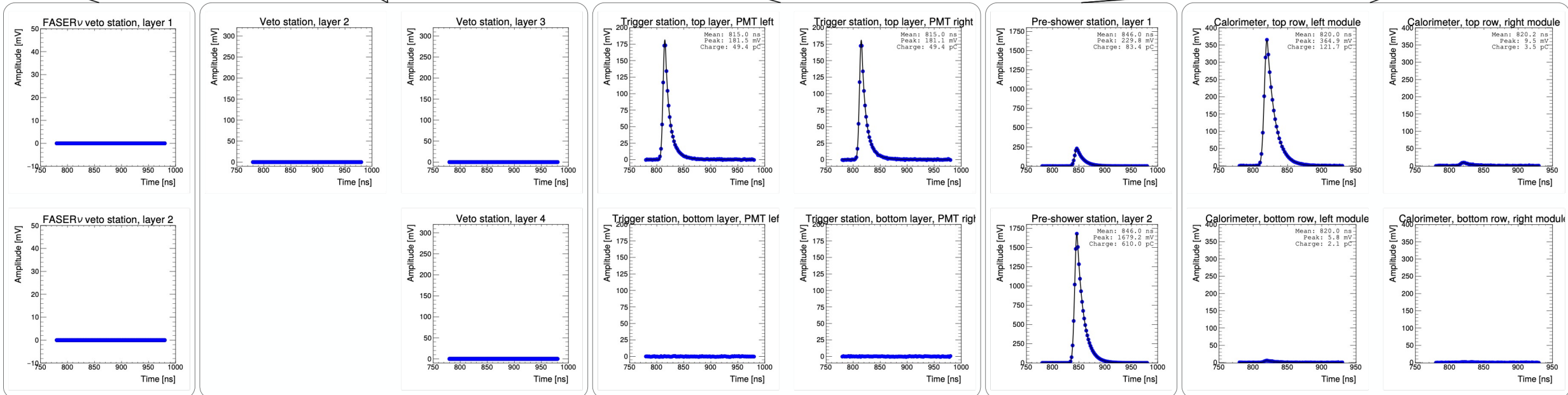
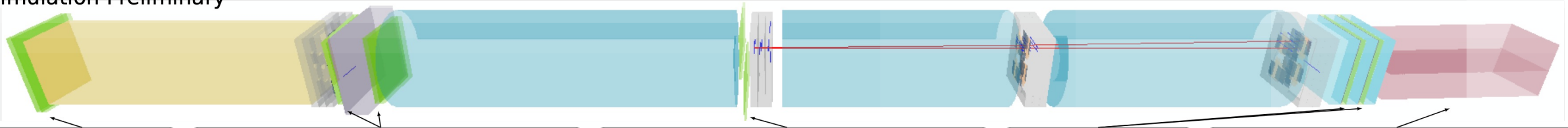
Dark Photon: Event Display (2)

- Simulated dark photon event



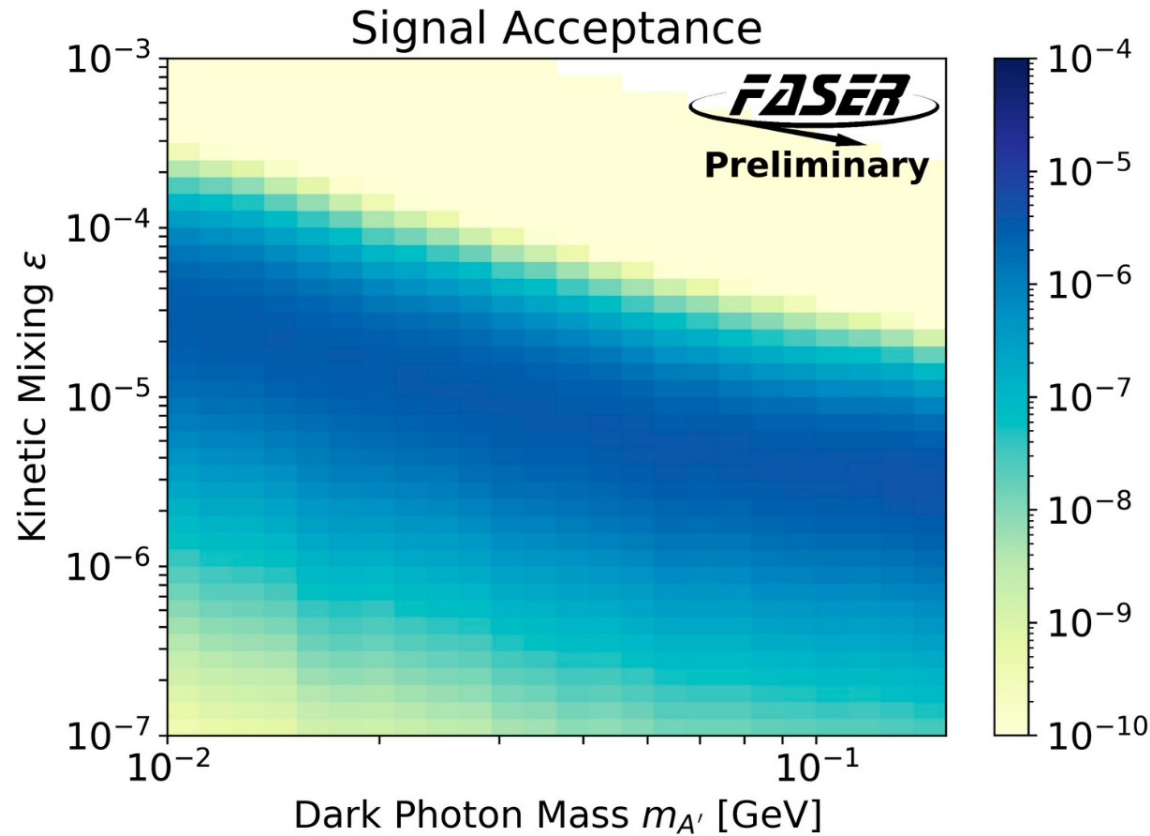
Calorimeter Energy: 645.2 GeV
Momentum: 420.4 GeV, 21.5 GeV

Simulation Preliminary



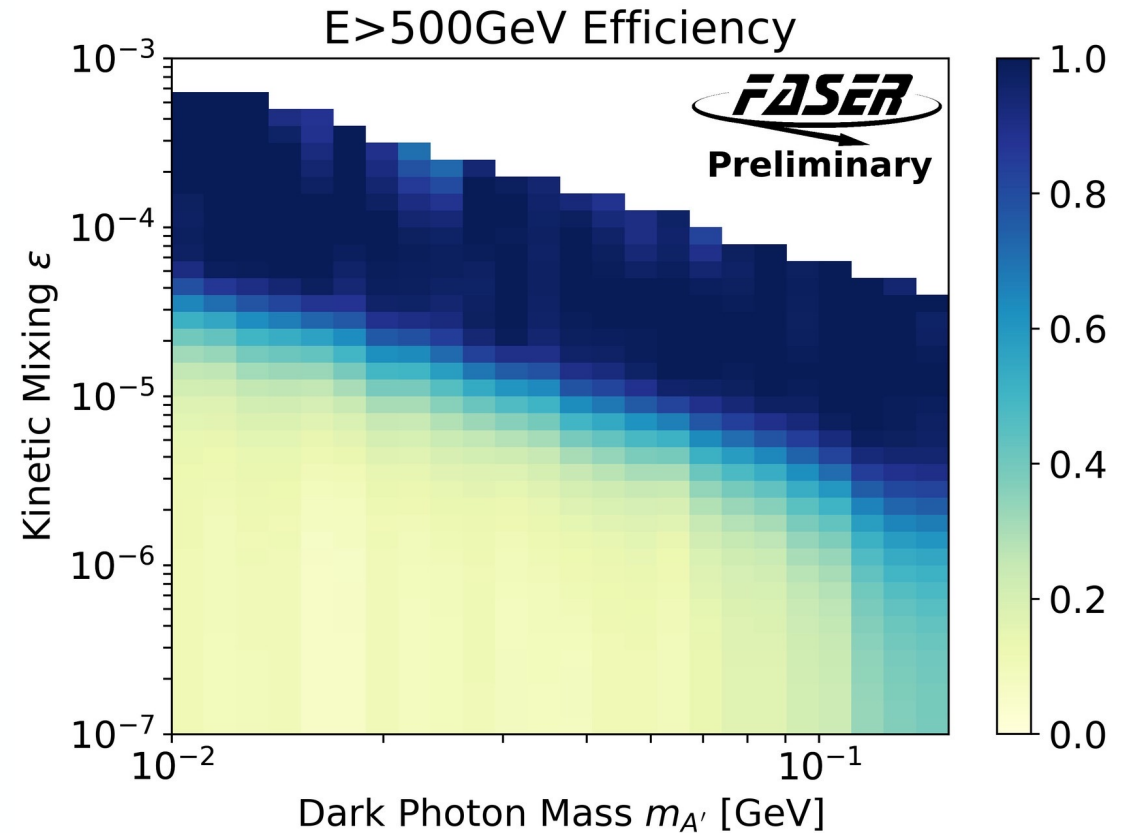
Dark Photon: Signal Acceptance x Efficiency

- Signal acceptance for A' produced in IP1
 - And decaying in FASER decay volume



- Note: FASER solid angle coverage only $\sim 10^{-8}$

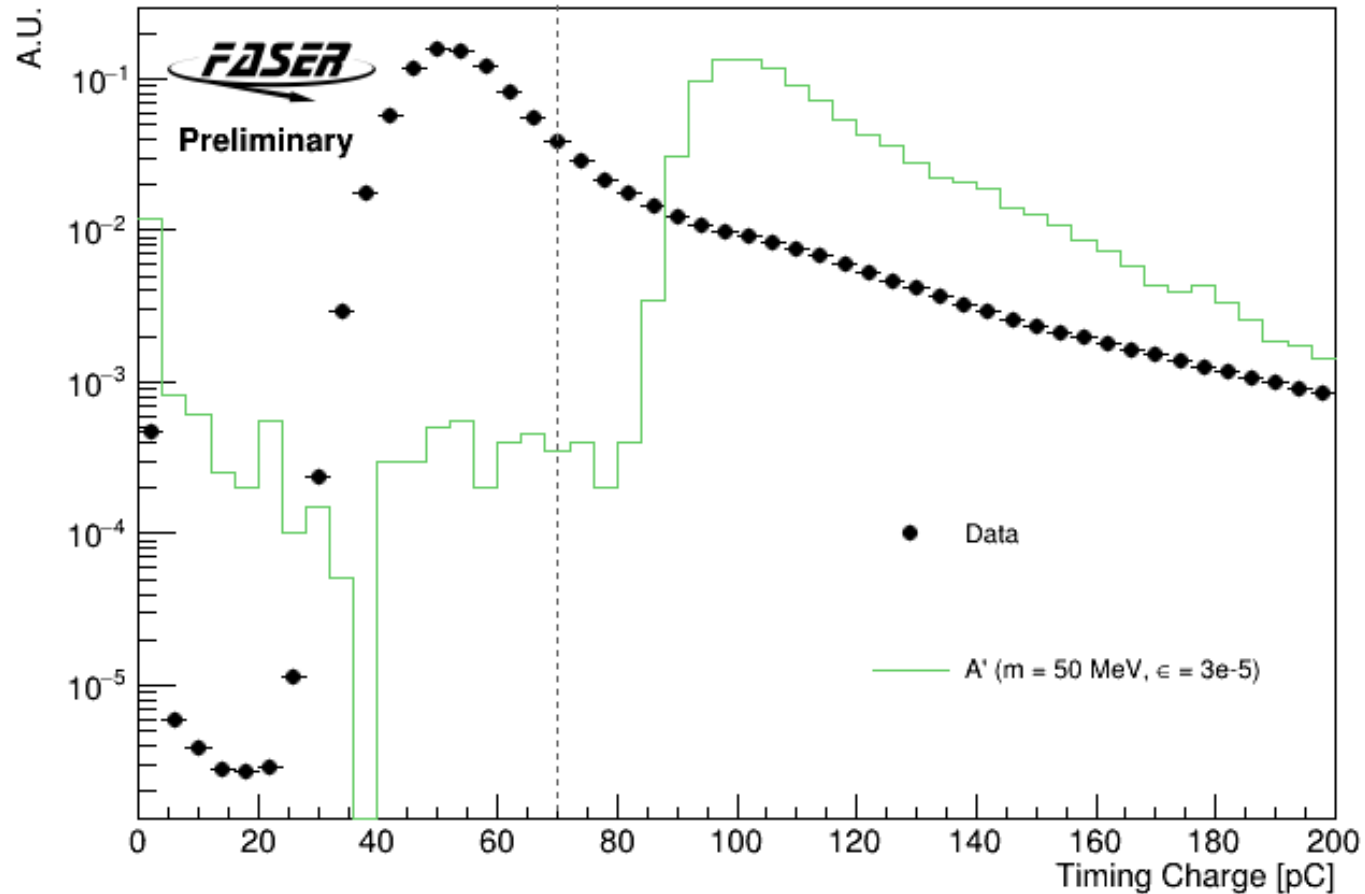
- Efficiency of calorimeter $E > 500$ GeV
 - For A' decaying in FASER decay volume



- Efficiency of other selections $\sim 40\%$

Dark Photon: Timing Scintillator Selection

- Timing cut of 70 pC is $\sim 100\%$ efficiency for signal
 - Suppresses a large fraction of data, which are predominantly single-track events



Dark Photon: Cut Flow

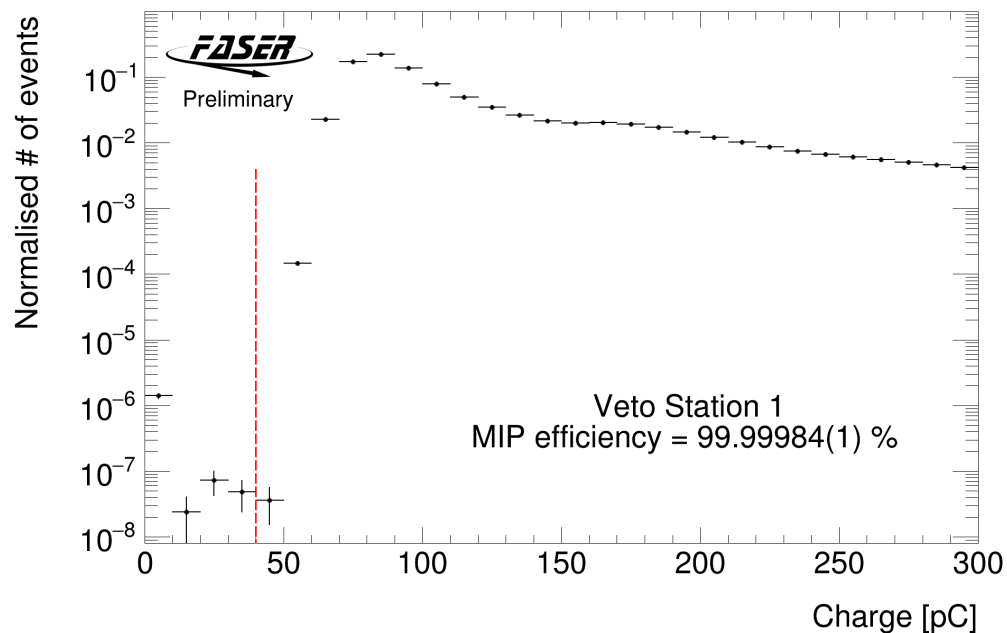
- Efficiency of analysis selection for data and example signal
 - Note the data was preselected to have ≥ 1 track (no quality cuts) in the event
- Overall signal efficiency $\approx 40\%$
 - While reducing background to 0

Cut	Data		Signal ($\varepsilon = 3 \times 10^{-5}$, $m_{A'} = 25.1$ MeV)	
	Events	Efficiency	Events	Efficiency
Good collision event	151750788	—	95.3	99.7%
No Veto Signal	1235830	0.814%	94.0	98.4%
Timing/Preshower Signal	313988	0.207%	93.0	97.3%
≥ 1 good track	21329	0.014%	85.2	89.2%
= 2 good tracks	0	0.000%	44.5	46.6%
Track radius < 95 mm	0	0.000%	40.4	42.3%
Calo energy > 500 GeV	0	0.000%	39.7	41.6%

Dark Photon Backgrounds (1)

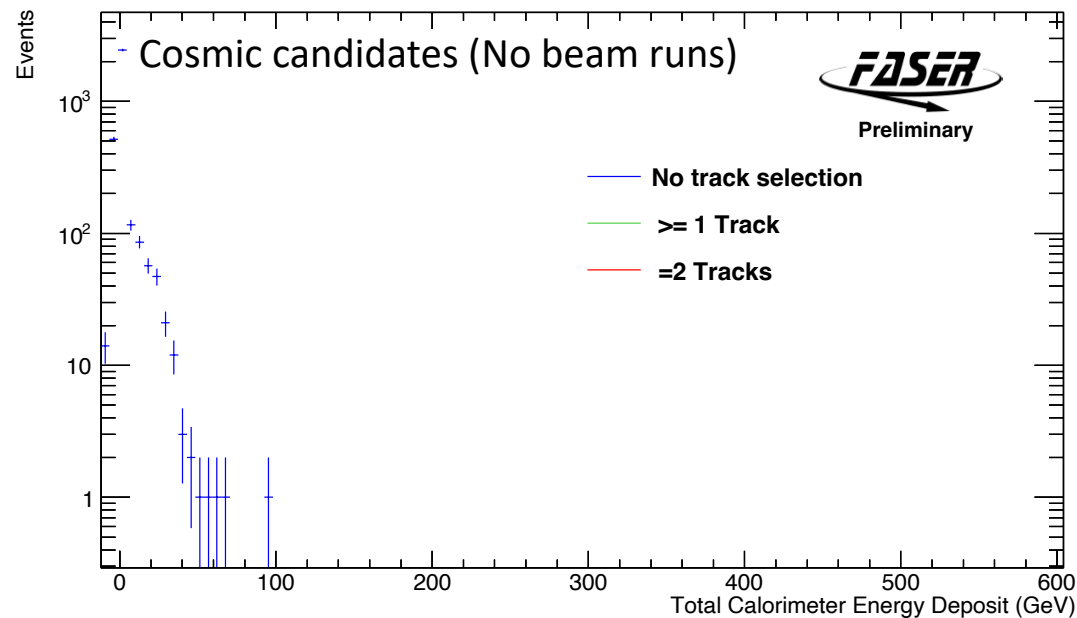
- Veto inefficiency

- Measured layer-by-layer via muons with tracks pointing back to vetos
- Layer efficiency > 99.998%
- 5 layers reduce exp. 10^8 muons to negligible level (even before cuts)



- Non-collision backgrounds

- Cosmics measured in runs with no beam
- Near-by beam debris measured in non-colliding bunches
- No events observed with ≥ 1 track or $E(\text{calo}) > 500$ GeV individually



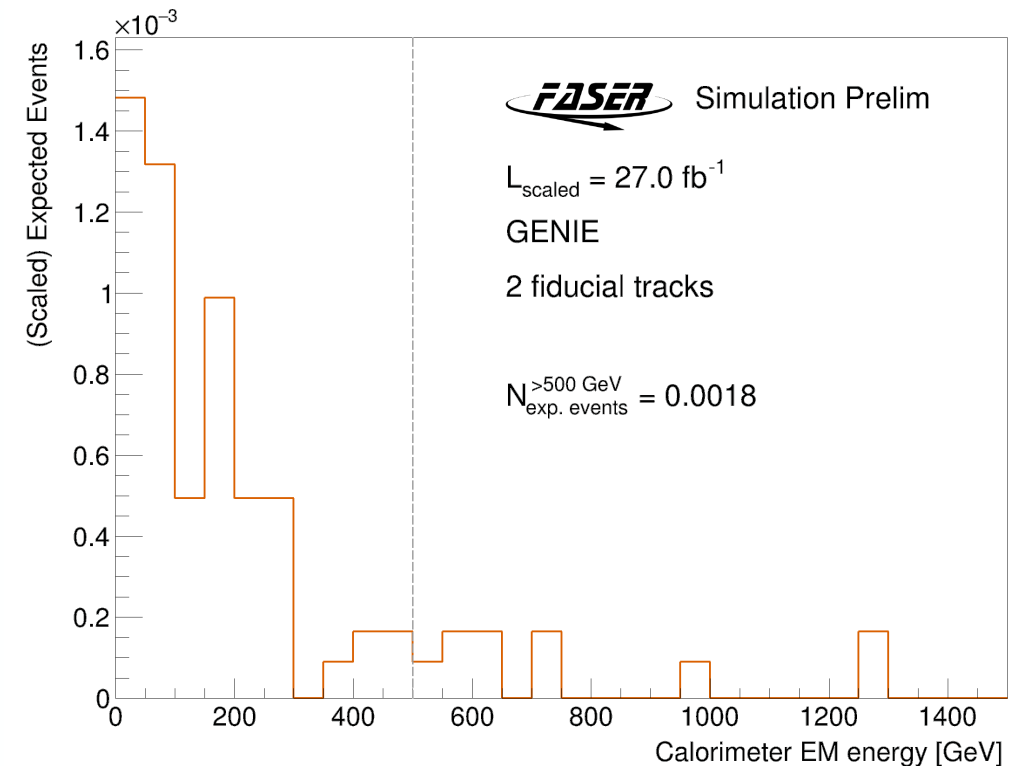
Dark Photon Backgrounds (2)

- Main background is from neutrino interactions
 - Primarily coming from vicinity of timing detector
 - Estimated from GENIE simulation (300 ab⁻¹)
 - Uncertainties from neutrino flux & mismodelling
 - Predicted events with E(calor) > 500 GeV

$$N = (1.8 \pm 2.4) \times 10^{-3}$$

- Neutral hadrons (e.g. K_s) from upstream muons interacting in rock in front of FASER
 - Heavily suppressed since:
 - Muon nearly always continues after interaction
 - Has to pass through 8 interaction lengths (FASERv)
 - Decay products have to leave E(calor) > 500 GeV
 - Estimated from lower energy events with 2 or 3 tracks and different veto conditions

$$N = (2.2 \pm 3.1) \times 10^{-4}$$



- Total background prediction

$$N = (2.02 \pm 2.4) \times 10^{-3}$$

Dark Photon: Neutral Hadron Background Details

- Select 3-track events where muon produces two other particles
 - A minority of these are neutral hadrons (Ks) + continuing muon (assumed to have highest momentum)
- Look at number of 3 track events with $100 < E_{\text{calo}} < 500 \text{ GeV}$
 - Compared to number of 2 track events (muon missed) that don't pass the veto with $E_{\text{calo}} < 100 \text{ GeV}$
- Use this to estimate # events with $E_{\text{calo}} > 500 \text{ GeV}$ where muon is not seen
 - Assuming E spectrum of neutral hadron is same whether muon is seen or not
- However, most of these are γ conversions in veto material that would fail event selection
 - Removed by $E/p < 0.5$ for two-track system (i.e. without muon)
 - But this biases $E_{\text{calo}} \rightarrow$ use simulated two-track p_z to estimate events with $E_{\text{calo}} > 100$ or 500 GeV
- From 3-track events in data strong evidence that most Ks decay in FASERv and fire veto
 - Hence scale the neutral hadron events with $E_{\text{cal}} > 500 \text{ GeV}$ by fraction of 3-track events decaying after veto $\rightarrow (2.2 \pm 3.1) \times 10^{-4}$

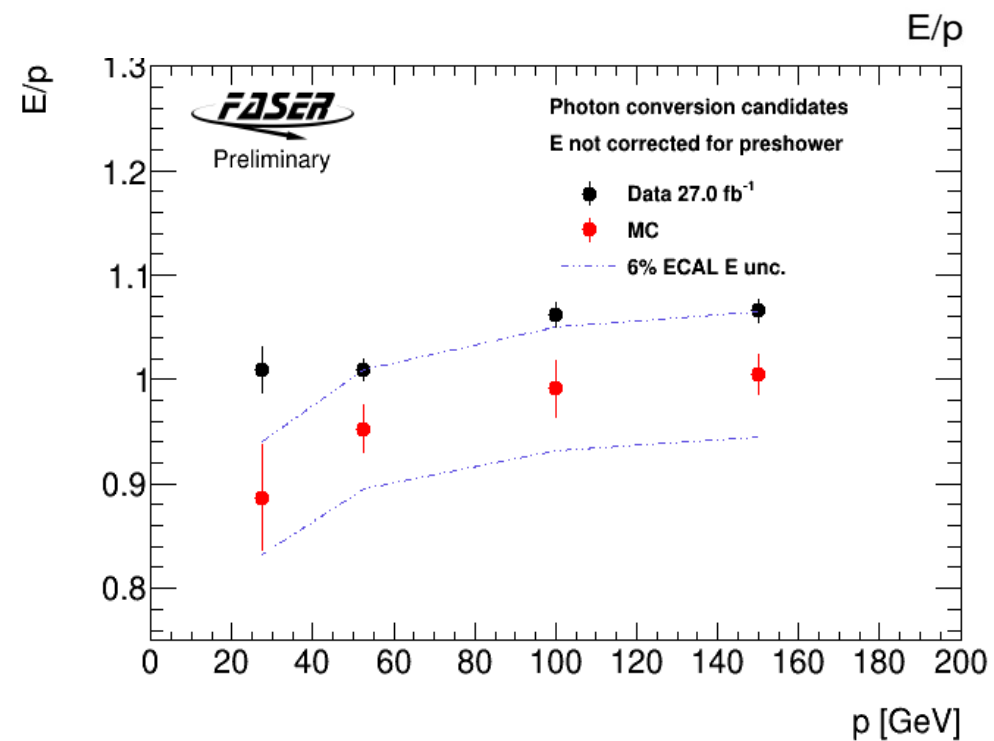
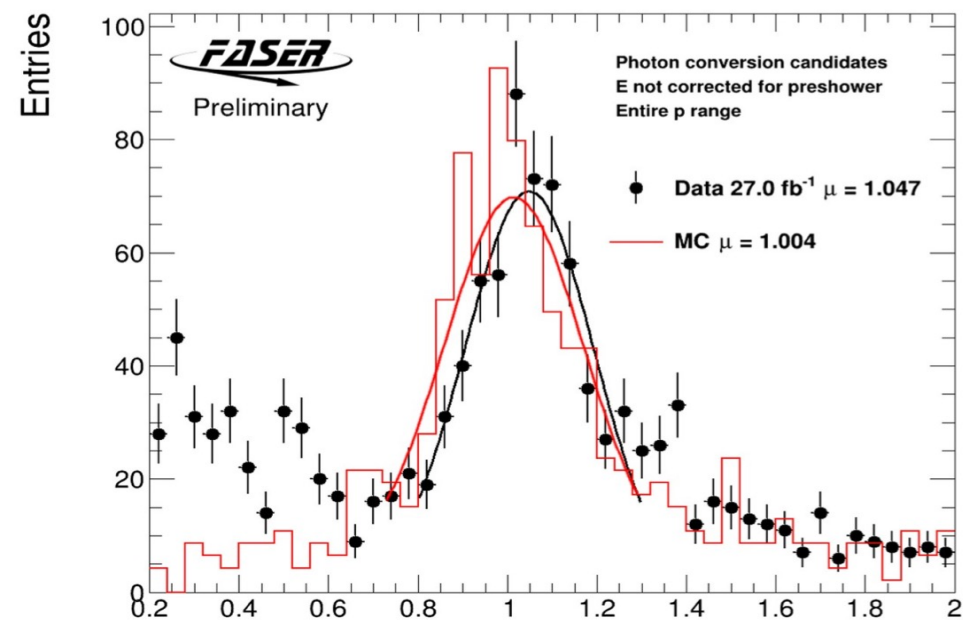
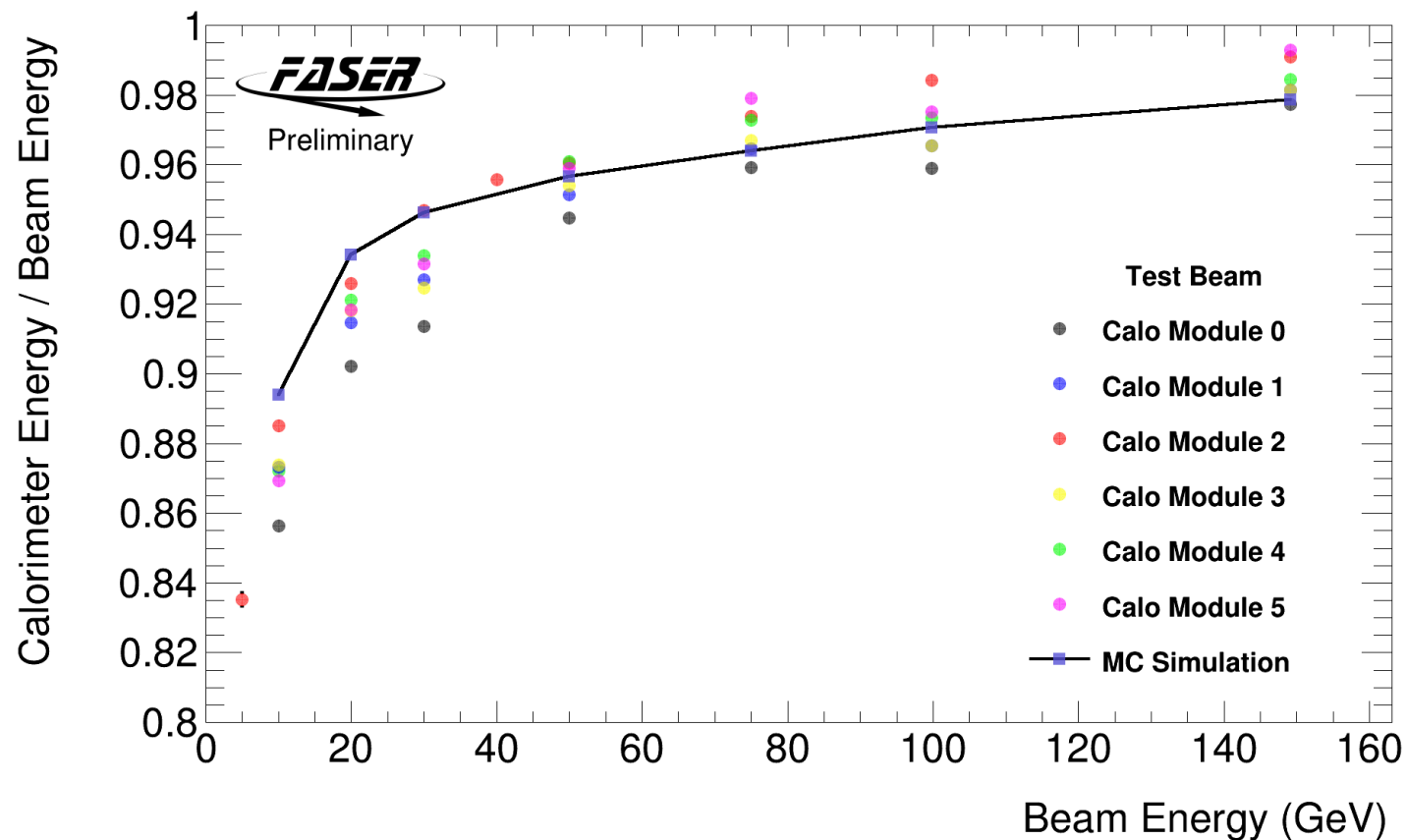
Dark Photon: Systematic Uncertainties Summary

- Complete list of systematic uncertainties and their impact on the signal yield
 - Numbers in parenthesis are the effect on signal in previous unexcluded FASER reach

Source	Value	Effect on signal yield
Theory, Statistics and Luminosity		
Dark photon cross-section	$\frac{0.15+(E_{A'}/4\text{TeV})^3}{1+(E_{A'}/4\text{TeV})^3}$	15-65% (15-45%)
Luminosity	2.2%	2.2%
MC Statistics	$\sqrt{\sum W^2}$	1-3% (1-2%)
Tracking		
Momentum Scale	5%	< 0.5%
Momentum Resolution	5%	< 0.5%
Single Track Efficiency	3%	3%
Two-track Efficiency	15%	15%
Calorimetry		
Calo E scale	6%	0-8% (< 1%)

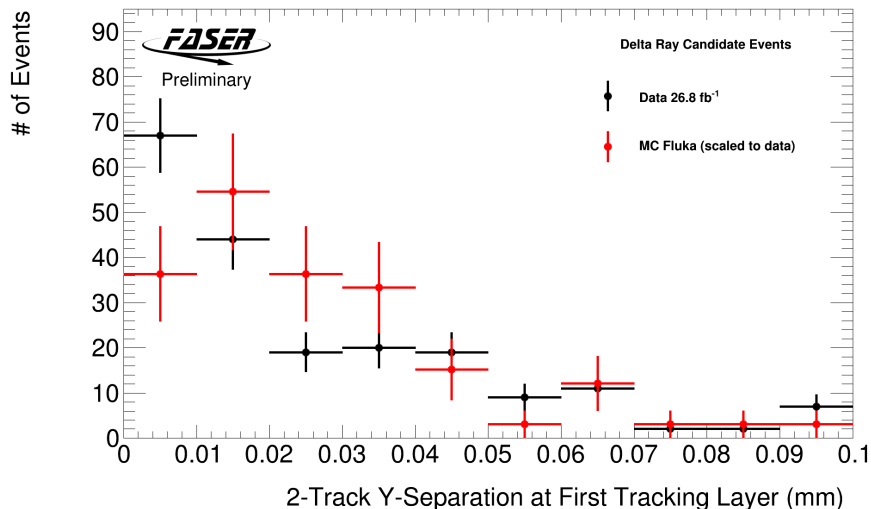
Dark Photon: Calo Energy Scale

- Calorimeter energy scale and uncertainty evaluated based on test beam data and in-situ MIP calibration
 - Validated using conversion events (μ with e^+e^- pair)
 - E/p in data and MC agrees within 6%

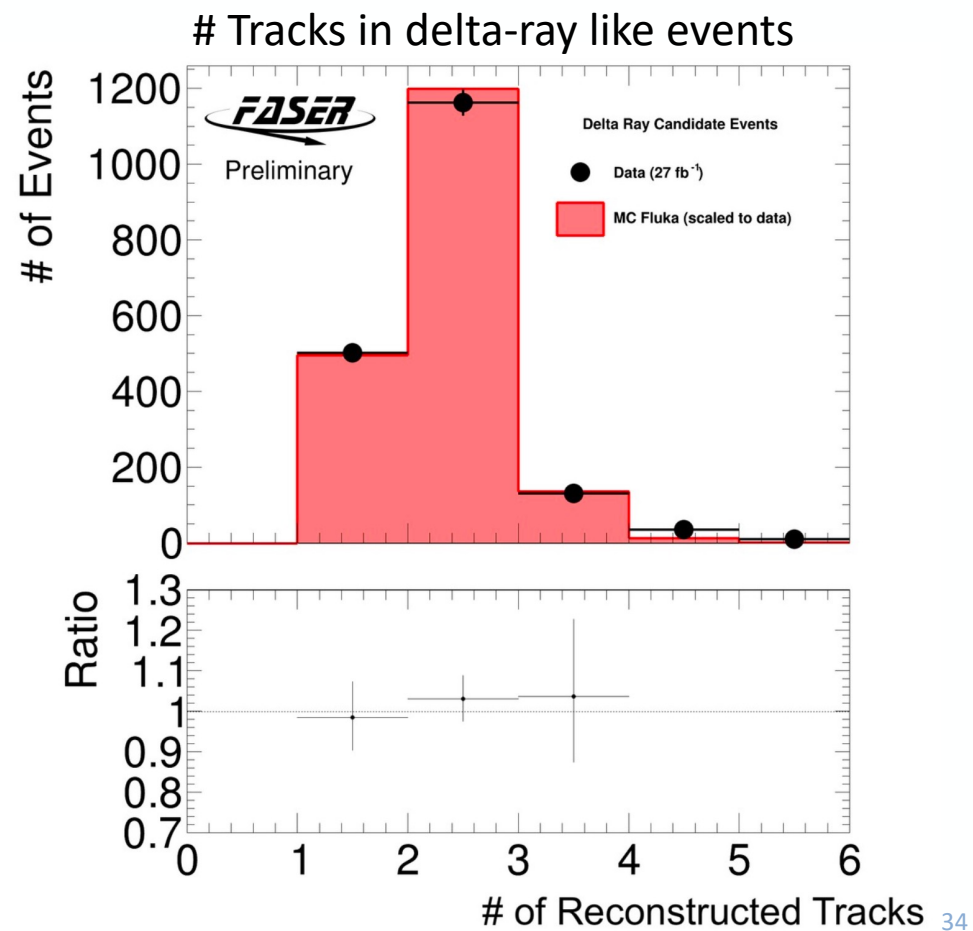


Dark Photon: Tracking Systematics

- Single track efficiency studies in muons events with track segments found in each station
 - 98.4% in data with data/MC agreement at 1.5% level
- Tracking efficiency lower for two close by tracks (~60%) → studied in two ways:
 1. Overlaying hits from 2 single track events in either data or MC and measuring efficiency to find 2 tracks
 - Correct MC by ~15% difference and conservatively apply full correction as a MC systematic
 2. Conversions and delta-ray events where require 1 less track than needed (i.e. 3 or 2 respectively)
 - With additional track segments + preshower/calor signals consistent with additional EM signal



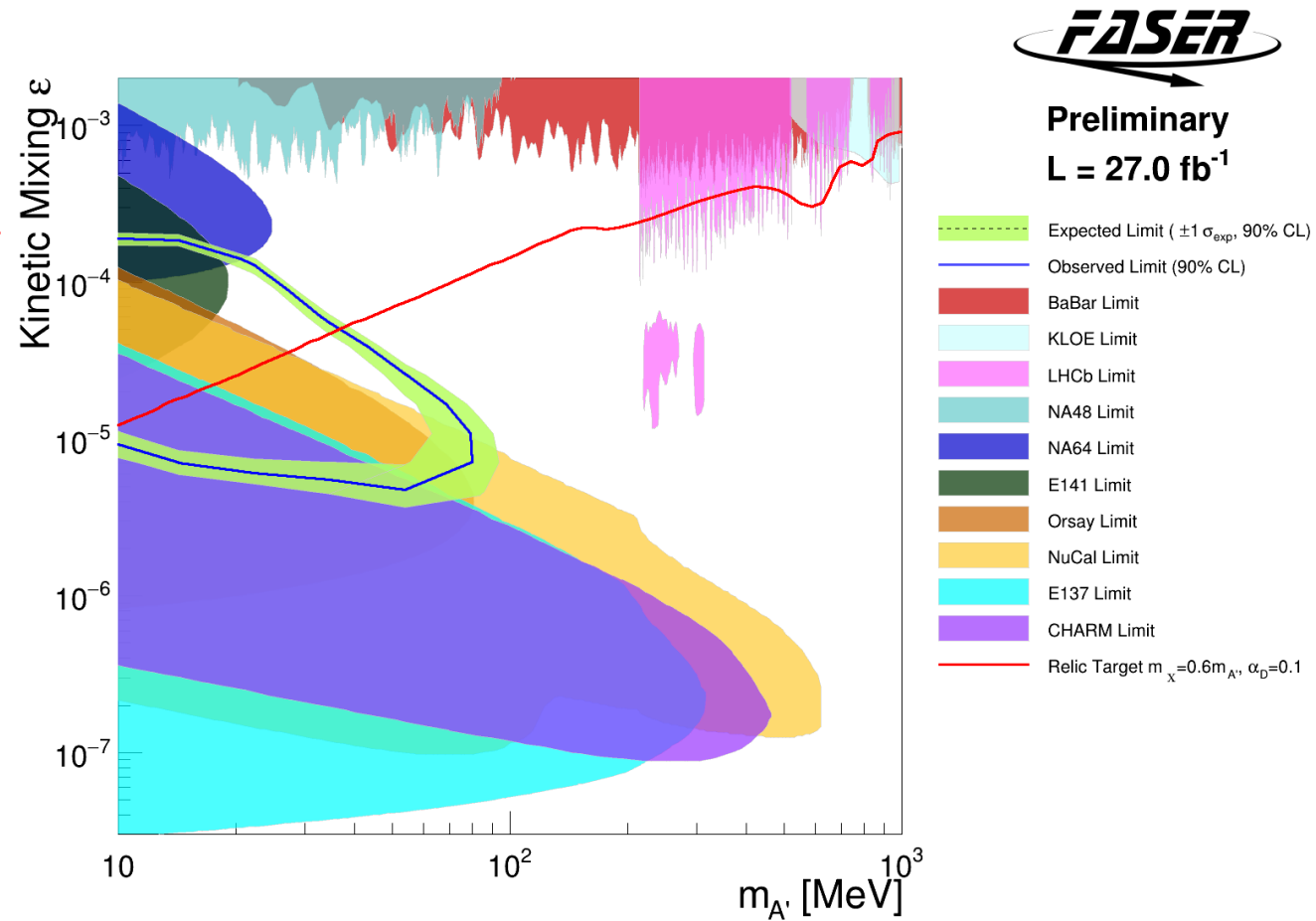
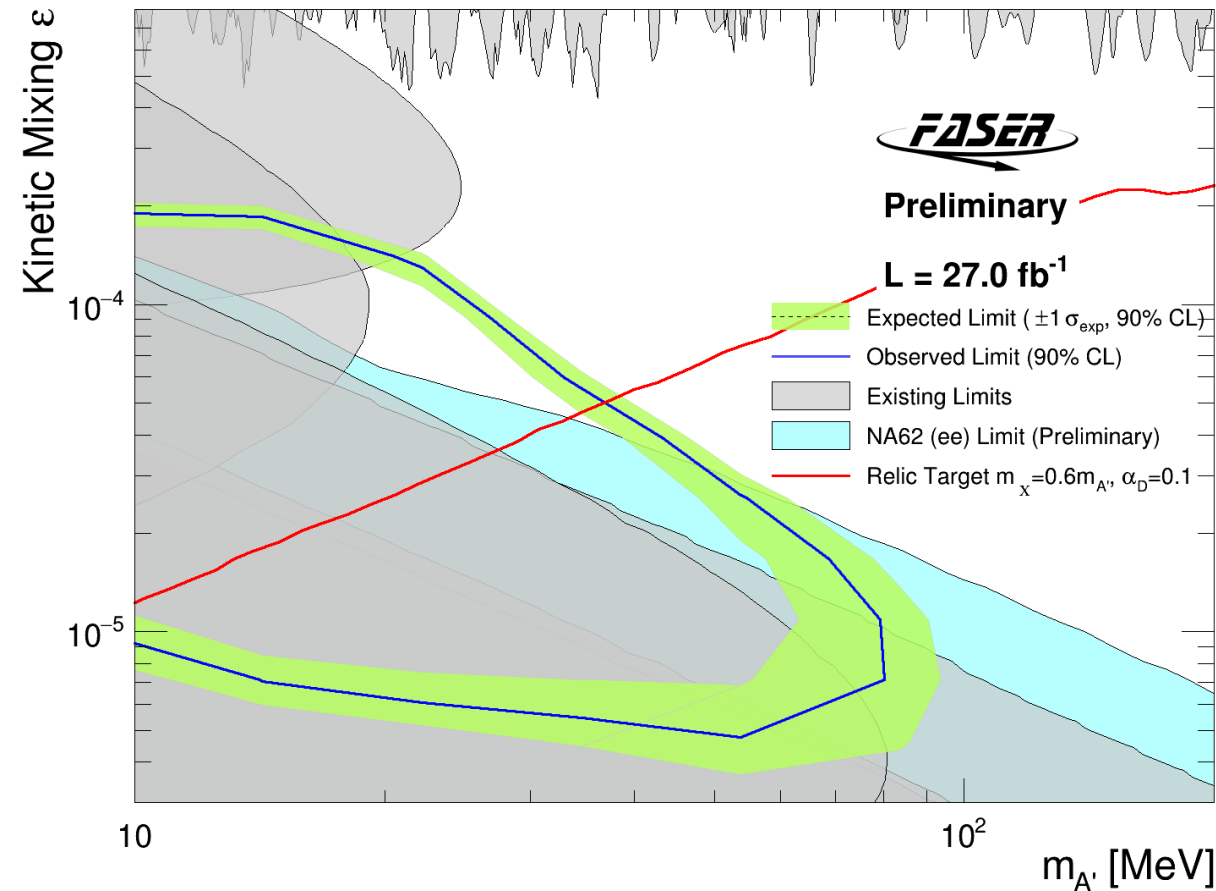
Two-track separation in delta-ray like events



Dark Photon: Additional Limits

- Limits including recent prelim NA62 results
 - Partially overlaps with FASER exclusion

- Alternative limit plot showing individual previous limits available from DarkCast



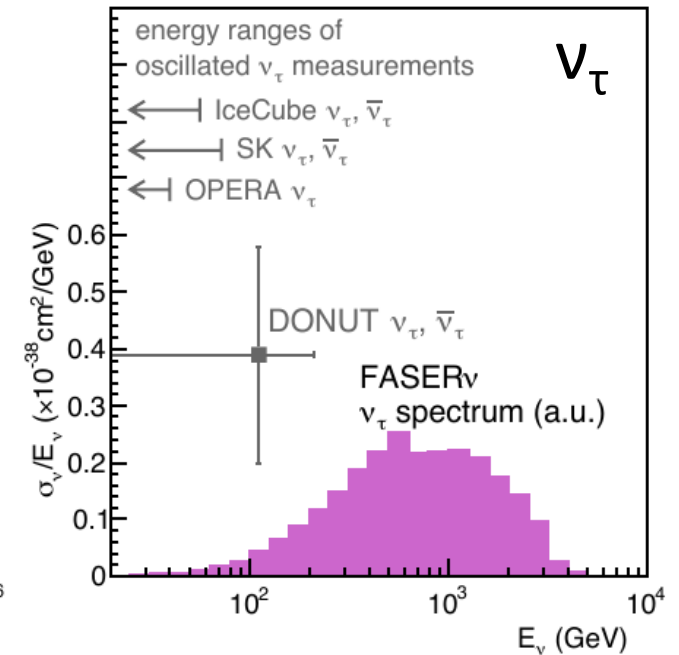
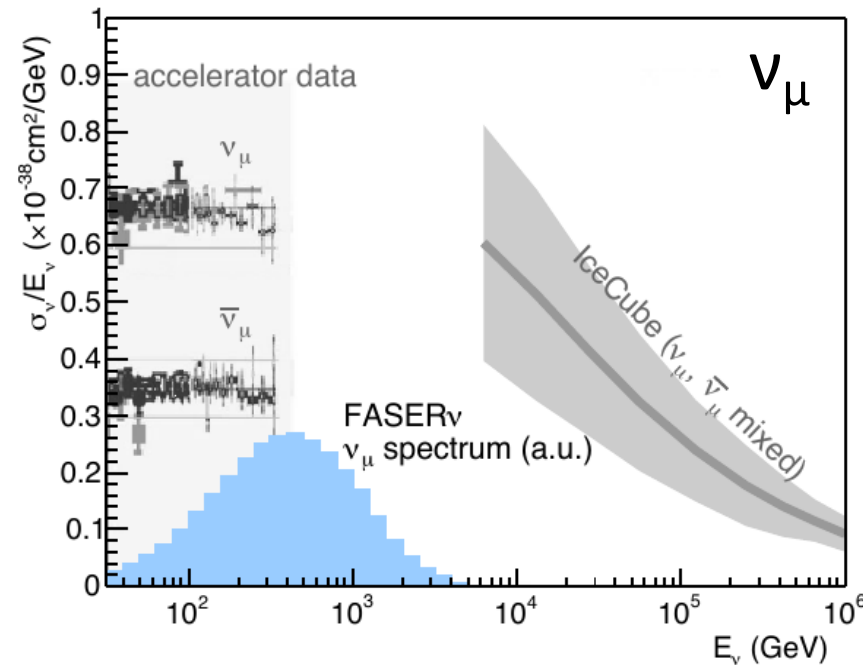
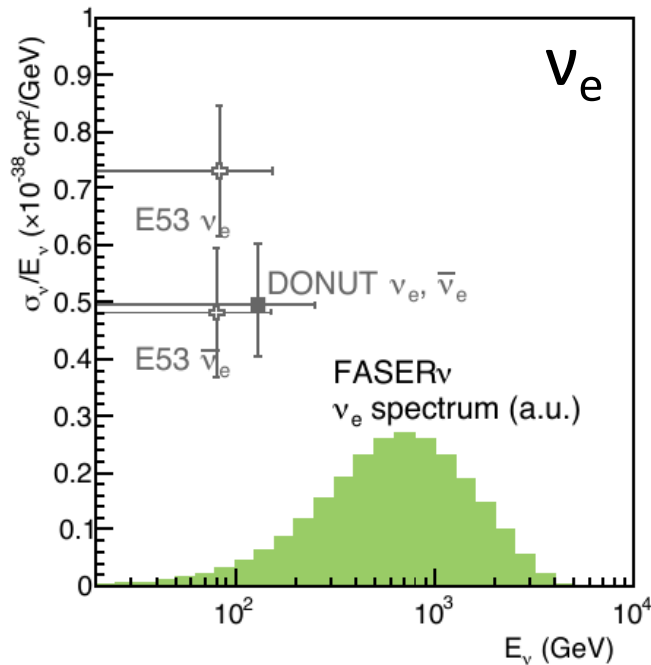
- Note FASER limits very similar at 95% CL and 90% CL

Collider Neutrinos

- Neutrinos produced copiously in decays of forward hadrons
 - Highly energetic (TeV scale) \rightarrow high interaction cross section
- Extends FASER physics program into SM measurements
 - Targets measurement of highest energy man-made neutrinos
 - Energy range complementary to existing neutrino experiments

For 35 fb ⁻¹	ν_e	ν_μ	ν_τ
Main source	Kaons	Pions	Charm
# traversing FASERv	$\sim 10^{10}$	$\sim 10^{11}$	$\sim 10^8$
# interacting in FASERv	≈ 200	≈ 1200	≈ 4

[PRD 104, 113008](#)



Study at colliders originally proposed by Rújula and Rückl in 1984!

Neutrinos: Event Display

FASER
preliminary

Run 8943
Event 47032829
2022-10-27 08:52:45

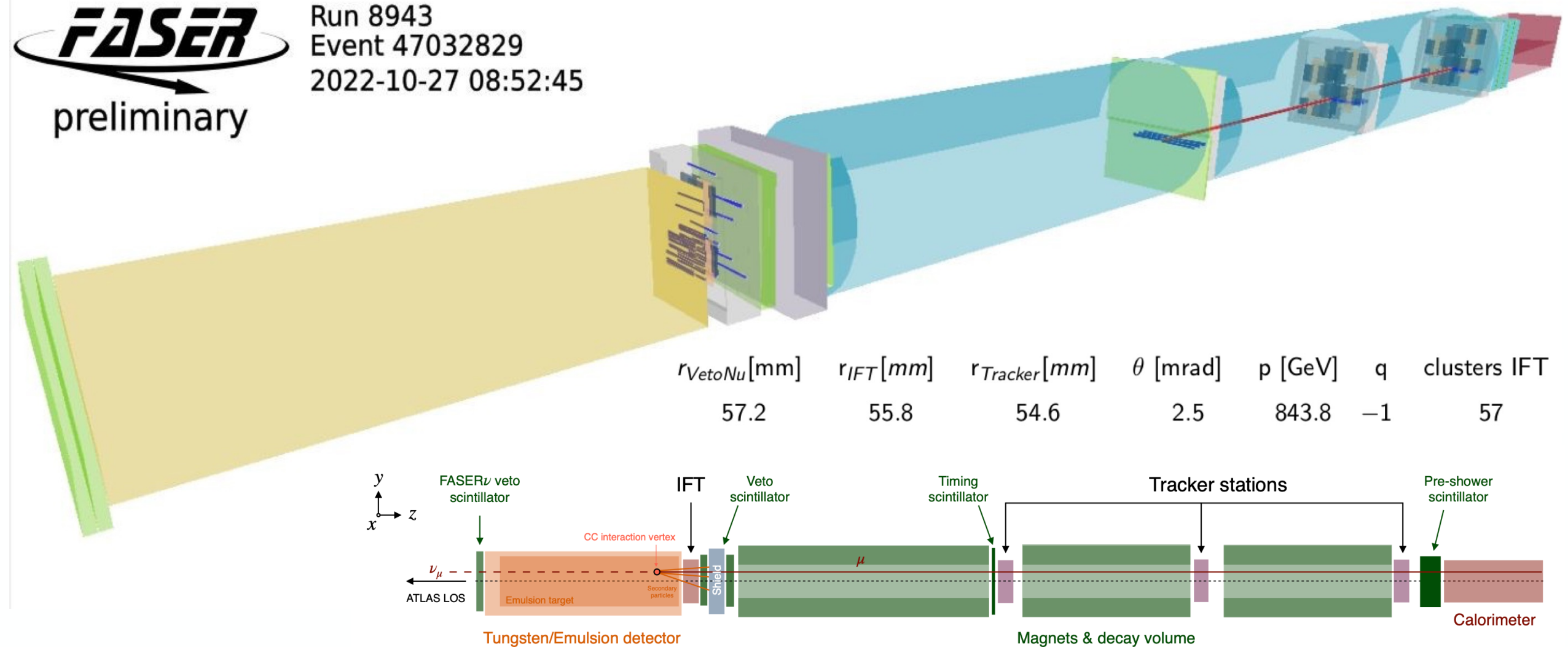
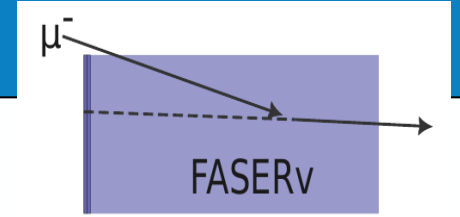
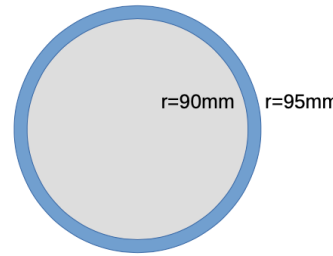


FIG. 1. Illustration of the FASER detector with a muon neutrino undergoing a CC interaction in the emulsion target.

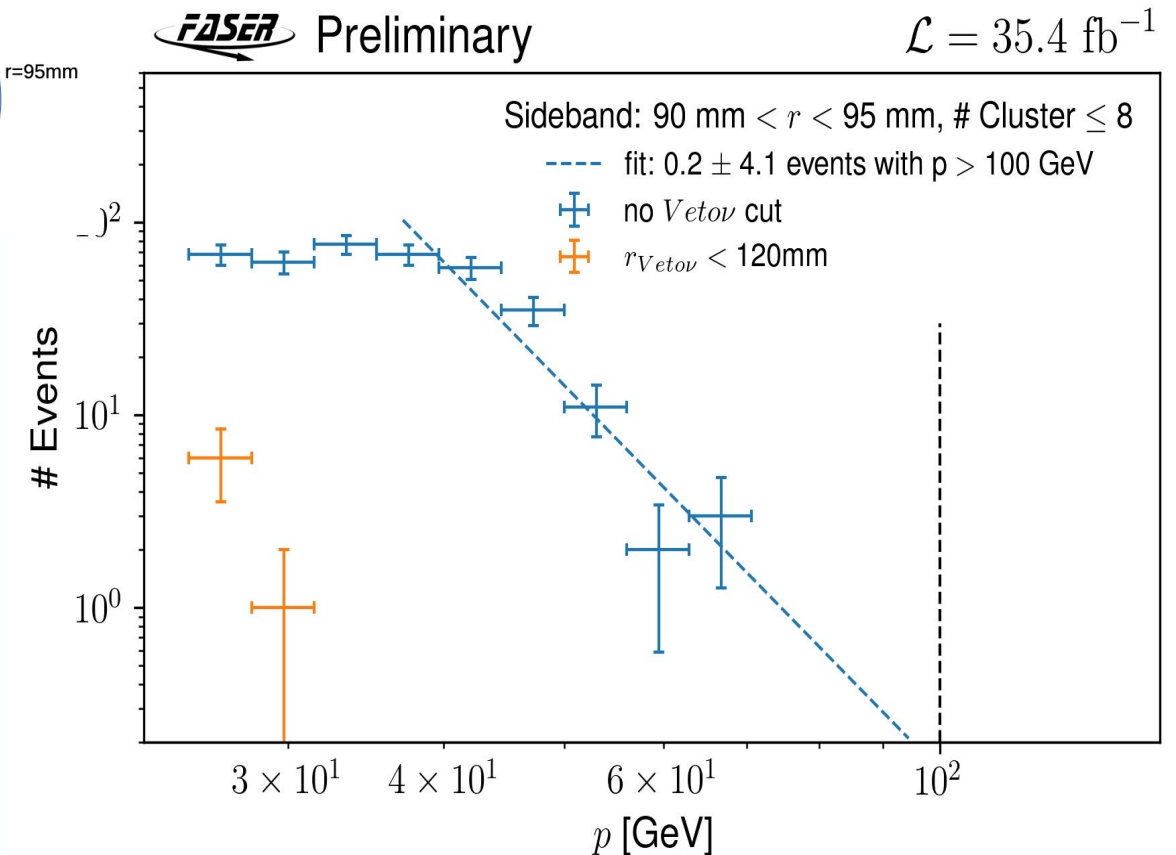
Neutrinos: Geometric Background



- Measure geometric background by counting # events in SB and scale to SR
- SB defined to enhance muons missing FASERν veto that still give a track in the spectrometer
 - Single IFT segment in $90 < r < 95$ mm annulus
 - Loosened momentum requirement
 - No FASERν veto radius requirement
 - Negligible neutrino background

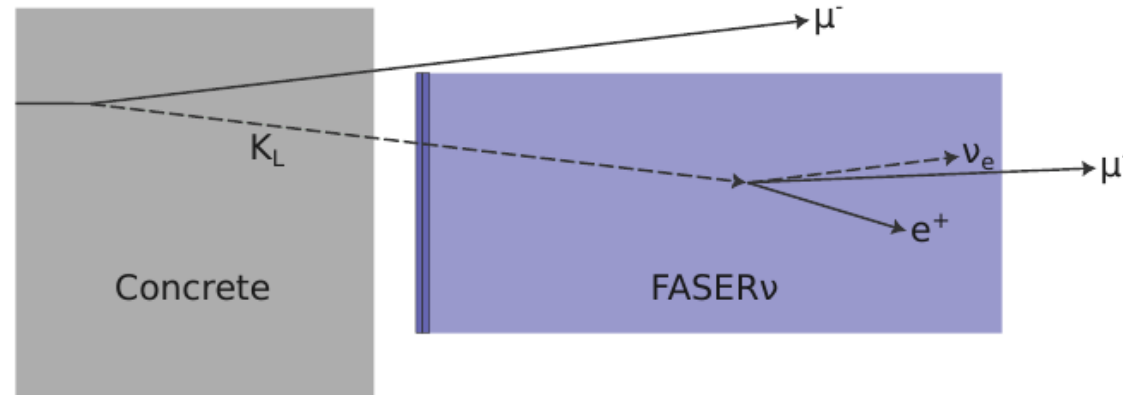


- Fit mom. to extrapolate to $p > 100$ GeV
- Scale to rate of events with $r_{VetoNu} < 120$ mm
 - 0 events so use 5.9 events as 3σ upper limit
- Scale from annulus to full acceptance
 - Using large angle muon simulation
- Expect 0.08 ± 1.83 events



Neutrinos: Neutral Hadron Background

- Simulated 10^9 μ^+ and μ^- events
 - Start from FLUKA Spectra
 - G4 propagation through last 8 m of rock
 - Number of hadrons with $p > 100$ GeV reaching FASER ≈ 300 .
- Estimate fraction of these passing event selection
 - Simulate kaons (K_S/K_L) and neutrons with $p > 100$ GeV following expected spectra
 - Most are absorbed in tungsten with no high-momentum track \rightarrow only small fraction pass



- Scale neutral hadrons produced by muons reaching FASER by fraction passing selection
 - Predicts $N = 0.11 \pm 0.06$ events

Neutrinos: fit

- Fit to events with 0, 1 or 2 front veto hits
 - Splitting those were 1 hit is in 1st/2nd layer
- Construct likelihood as product of Poissons
 - With additional 3 Gaussian constraints for Neutral hadron background, Geometric background and the extrapolation factor

$$\mathcal{L} = \prod_i^4 \mathcal{P}(n_i | \nu_i) \cdot \prod_j^3 \mathcal{G}_j$$

obs
exp

- Determine number in each category
 - Along with inefficiencies of 2 forward vetos, which are found to be close to expected vals.

Inefficiencies: 1 - p1 = 99.999994(3)%
 6 / 9 x 10⁻⁸ 1 - p2 = 99.999991(4)%

n_0 : A neutrino enriched category from events that pass all event selection steps.

n_{10} : Events for which the first layer of the FASER ν scintillator produces a charge of >40 pC in the PMT, but no signal with sufficient charge is seen in the second layer.

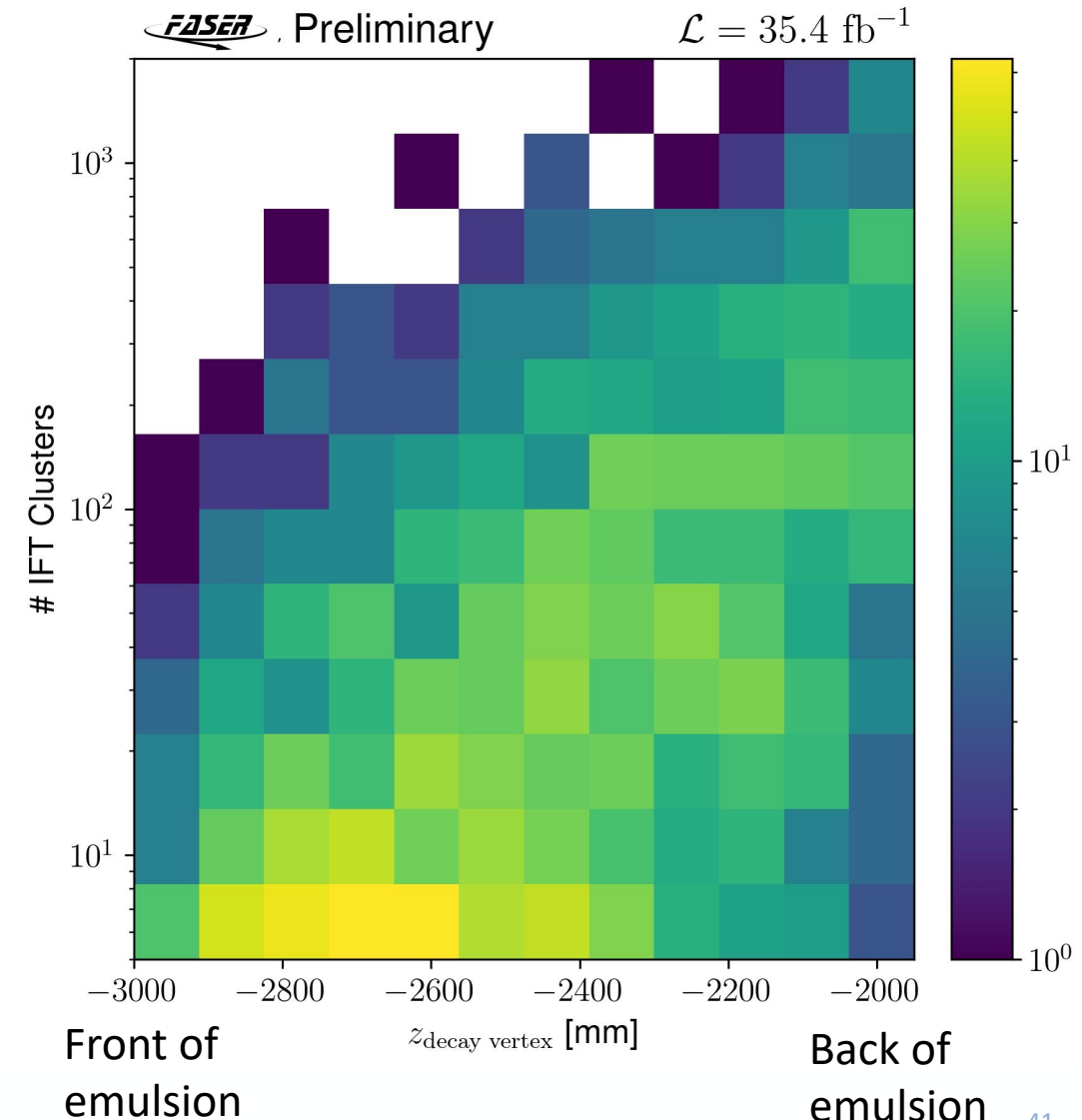
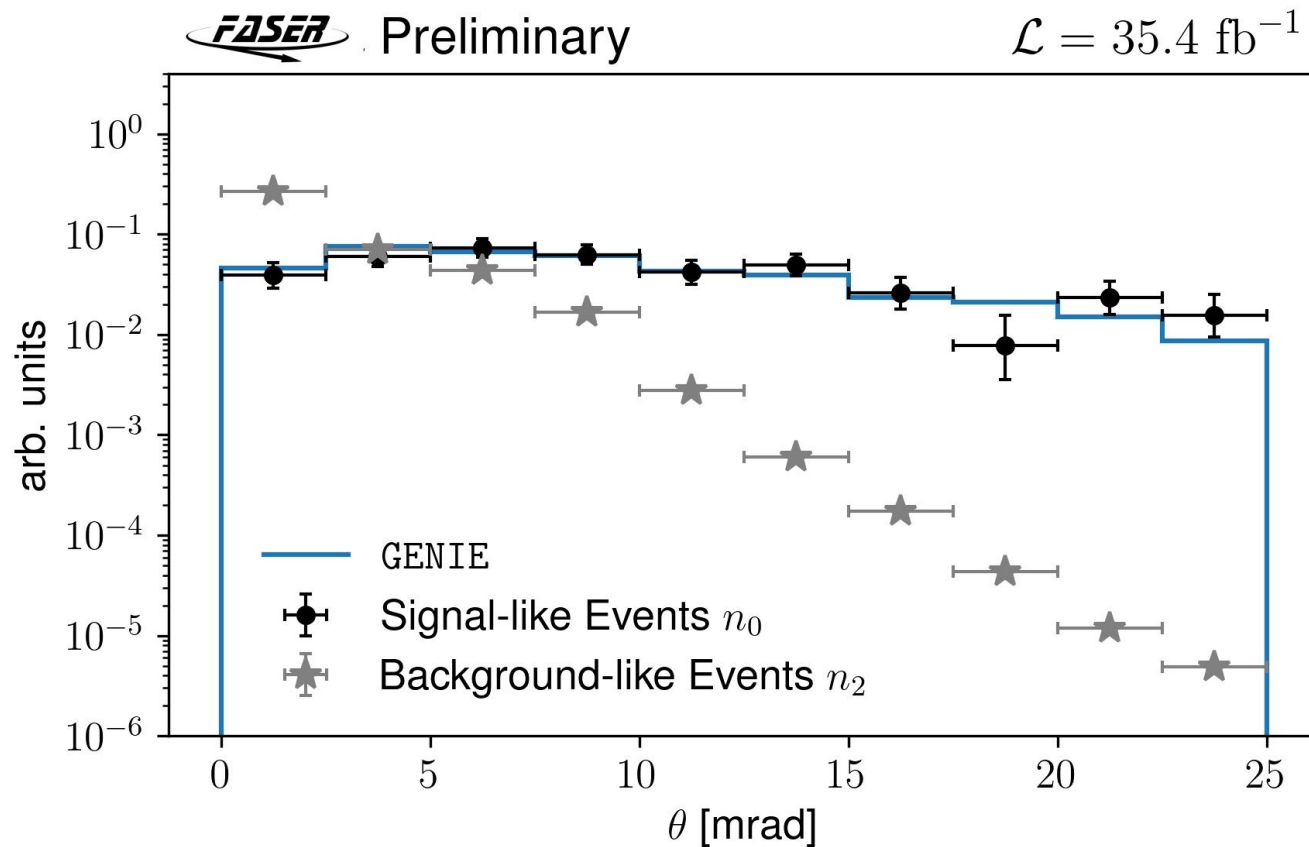
n_{01} : Analogous events for which more than 40 pC in the PMT was observed in the second layer, but not in the first layer.

n_2 : Events for which both layers observe more than 40 pC of charge.

Category	Events	Expectation
n_0	153	$\nu_\nu + \nu_b \cdot p_1 \cdot p_2 + \nu_{\text{had}} + \nu_{\text{geo}} \cdot \eta_{\text{geo}}$
n_{10}	4	$\nu_b \cdot (1 - p_1) \cdot p_2$
n_{01}	6	$\nu_b \cdot p_1 \cdot (1 - p_2)$
n_2	64014695	$\nu_b \cdot (1 - p_1) \cdot (1 - p_2)$

Neutrinos: Additional Distributions

- Number of clusters in IFT depends on interaction point
 - Further forward interactions have less clusters
- Neutrino tracks have larger angular range
 - Compared to n_2 background events



FASER Collaboration

- 87 members across 24 institutes from 10 countries



FASER Publications

- The FASER Detector: [arXiv:2207.11427](https://arxiv.org/abs/2207.11427)
- The FASER W-Si High Precision Preshower Technical Proposal: [CERN Document Server](#)
- The tracking detector of the FASER experiment: [NIM 166825 \(2022\)](#)
- The trigger and data acquisition system of the FASER experiment: [JINST 16 P12028 \(2021\)](#)
- First neutrino interaction candidates at the LHC: [PRD 104 L091101 \(2021\)](#)
- Technical Proposal of FASER ν neutrino detector: [arXiv:2001.03073](https://arxiv.org/abs/2001.03073)
- Detecting and Studying High-Energy Collider Neutrinos with FASER at the LHC: [EPJC 80 61 \(2020\)](#)
- Input to the European Strategy for Particle Physics Update: [arXiv:1901.04468](https://arxiv.org/abs/1901.04468)
- FASER's Physics Reach for Long-Lived: [PRD 99 090511 \(2019\)](#)
- Letter of Intent: [arXiv:1812.09139](https://arxiv.org/abs/1812.09139)
- Technical Proposal [arXiv:1811.10243](https://arxiv.org/abs/1811.10243)