



LHCB - THERE AND BACK AGAIN: MY THREE YEARS AS AN LHC RING BEARER

- Recent Physics Highlights
- LHCb Upgrade I Status
- LHCb Upgrade II Opportunities

22nd November

Chris Parkes



22 November

Events that occurred on 22 November.

In Arda 🔗

• T.A. 3018:

• Frodo and Samwise rest in Rivendell and prepare for their quest to destroy The One Ring.





With its challenges....



LHCb Upgrade

- 5 major new detector systems to install
- New electronics for all 7 major systems
- New software-only trigger system

Most major CERN detector project since start of LHC



With its challenges....



Started in covid lockdown of 2020:

• Daily crisis meetings

Personal tragedies of collaborators

Throughout two years:

 Logistics of construction, transport & installation of new experiment across 20 countries



With its challenges....



Intriguing pattern of "B anomalies" over past decade (and g-2 muon) - attempts to create a coherent theoretical picture

March 2021 LHCb result (R_{κ}) at 3.1 σ from SM

December 2022 LHCb results (R_K, R_{K*}, 4 bins q²) compatible with SM



With its challenges....



LHCb Collaboration

- Four institutes in Ukraine
 - Three damaged by Russian bombs
 - Members sheltering in underground stations, family members killed
 - Males under 65 unable to leave country
 - Major responsibilities for luminometer and radiation monitoring system
- Eleven institutes in Russia (10% collaboration)
 - Many colleagues openly against the war
 - Difficult decisions whether to return to family or move / stay outside Russia
 - Major responsibilities for calorimeters and muon systems
- Paper publication suspended for 1 year to reach author list agreement
 - Results continued to be released on arXiv







January 2023

- Malfunction of LHC vacuum safety system
- Primary LHC vacuum and vertex detector modules separated by thin foil
- 200mbar pressure differential across 250µm of aluminum
 - 400kg, thickness of a few sheets of paper



With its challenges....









1100 authors, 98 institutes, 22 countries

697 submitted papers









Celebrating "LHCb-original"!

LHCb was originally designed for CP violation and b & c-hadron rare decays...



... but it achieved much more: exotic spectroscopy, heavy ions, fixed target programme, EW precision physics, dark sector searches...



Today recent results on

Original

2009-2018

CP violation in B decays and D⁰ mixing, Lepton Flavour Universality, Spectroscopy, breadth of programme

Hicp Sin(2 β) – full LHCb data

LHCP '23 LHCb-PAPER-2023-013

- obtained by the "golden mode" $B^0 \to J/\psi K^0$

CP violation in interference between decay and mixing $P(B \rightarrow f_{CP}) = P(B \rightarrow B \rightarrow f_{CP})$



- Original mode of Babar/Belle discovery 2001
 - Confirming SM interpretation of CP violation, Nobel Prize 2008
 - Factor 2 better than prev. world best (Belle), compatible result



First evidence Charm CP Violation in specific decay ICHEP '22





- Upper end of SM prediction – separate into individual symmetries
 - Control channels to _{0.} correct asymmetries
 - 3.8σ asymmetry evidence in KK

LHCb-PAPER-2022-024

- Direct CP Discovery 2019
- ΔA_{CP} difference KK, $\pi\pi$
- Cancel systematics
 - Production, detection asymmetries



14

B anomalies: R(K) & R(K*)

- "B anomalies" several results in tension with standard model (SM)
- Included lepton flavour universality ratios in rare b→sll processes
- 2021 LHCb paper reported 3.1σ from SM in one q² bin in R_K generating much interest

$$R_H \equiv \frac{\int_{q^2_{\rm min}}^{q^2_{\rm max}} \frac{\mathrm{d}\mathcal{B}(B \to H\mu^+\mu^-)}{\mathrm{d}q^2} \mathrm{d}q^2}{\int_{q^2_{\rm min}}^{q^2_{\rm max}} \frac{\mathrm{d}\mathcal{B}(B \to He^+e^-)}{\mathrm{d}q^2} \mathrm{d}q^2} \,.$$

- Coherent measurement of four values $(R_K, R_{K^*} \text{ each in two } q^2 \text{ bins})$ with full Run1+2 data sample for all
 - new treatment of hadronic misidentified background to electrons
 - All results in good agreement with SM



LHCb-PAPER-2022-045/046

December '2

 R_K low- q^2 R_K central- q^2 R_{K^*} low- q^2 R_{K^*} central- q^2

B anomalies: R(D) & R(D*)

LHCb-PAPER-2023-052

LHCb-PAPER-2022-039

- "B anomalies" several results in tension with standard model (SM)
- Including lepton flavour universality ratios in semi-leptonic b \rightarrow clv processes
- Undetected v considered difficult at LHC, previously results dominated by Belle/Babar
- LHCb results with muonic and hadronic decay of tau $\mathcal{R}(D^*) \equiv \mathcal{B}(\overline{B} \to D^* \tau^- \overline{\nu}_{\tau}) / \mathcal{B}(\overline{B} \to D^* \mu^- \overline{\nu}_{\mu})$ $\hat{\mathcal{R}}(D^0) \equiv \mathcal{B}(B^- \to D^0 \tau^- \overline{\nu}_{\tau}) / \mathcal{B}(B^- \to D^0 \mu^- \overline{\overline{\nu}}_{\mu})$
 - LHCb results compatible with SM and with previous results
 - world average remains 3σ from SM



La Thuile '23

Red band – LHCb hadronic tau result Blue elipse – LHCb muonic result, October '22

- LHCb now major contributor in this area
- Future results with full Run1&2 will give significant improvement in precision

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Hot topic – lots of activity !

La Thuile '23

LHC Hadrons

- More than 70 particles discovered at LHC
- 64 at LHCb





• Doubly Charming Tetraquark Discovery: T_{cc}^+ in $D^0 D^0 \pi^+$

consistent with $cc\overline{u}\overline{d}$





Very narrow state, slightly						
below		D*+D ⁰ threshold				
$\delta m_{ m BW}$	=	$-273\pm$	$61\pm$	5^{+11}_{-14} keV/ c^2 ,		

$\Gamma_{\rm BW}$	=	$410 \pm 165 \pm 43 {}^{+18}_{-38} \mathrm{keV}$,

EPS '21



Increased interest for T_{bc}, T_{bb} as possible first long-lived, weakly decaying, states! Need Upgrade statistics

Breadth of LHCb Physics: Electroweak

- LHCb results in Precision Electroweak
- W mass hot topic with '22 CDF result
- Pathfinder LHCb result with 2016 data only



Science

HEAVYWEIGHT

 LHCb results combined with ATLAS reduce sensitivity to the parton distribution functions. PDFs.

EPS '21

JHEP 01 (2022) 36

- In LHCb W bosons are produced in collisions of high- with low-x partons
- ATLAS mainly collisions of mid-x partons produce the W bosons observed

Breadth of LHCb: Understanding Dark Matter in Space

- Astrophysics tells us that dark matter exists
- Space based experiments try to detect it by measuring anti-protons
 - need to know how many anti-protons to expect from standard physics
 - protons collide with He in space and can produce anti-protons
- LHCb has unique programme measuring protons with gas



- Ratio of *detached* to *prompt* anti-protons
- Predictions
 have underestimated this ratio



QM '22



LHCb Highlights

• Future plans build on the success of the experiment during Run 1 & 2

LHCb

Original

2009-2018



21

LHCb Upgrades



- Physics programme limited by detector, NOT by LHC
- Hence, clear case for an ambitious plan of upgrades

Upgrade I started now! • Lpeak = 2x10³³ cm⁻² s⁻¹ • Lint = 50 fb⁻¹ during Run 3 & 4 • Healthy competition with Belle II if reach 50 ab⁻¹

Upgrade II

 $\cdot L_{peak} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$



- $L_{int} = ~300 \text{ fb}^{-1} \text{ during Run 5 \& 6, Install in LS4 (2033)}$
- Some smaller detector consolidation and enhancements in LS3 (2026)
- Potentially the only general purpose flavour physics facility in world on this timescale

LHCb Upgrade I







E Y	1st Dedicated High L 1' National xternal speakers ind Nir, P. Ball, M. Man /eb site: http://www	d LHCb Collabo Cuminosiu I th / 12 th Janua E-Science Inst Clude: gano, C. Sachi v.nesc.ac.uk/e:	ration Workshop o ty Upgrad ry 2007, itute, Edinburgh rajda, F. Zimmerm si/events/729	on e ann
	ocal Organisers: C	hris Parkes, F	ranz Muheim	
UNIVERSITY GLASGOW	IPPP, Durham	ential new colla	Scottish Universities Physics Alliance	
	EUROPEAN ORGANIZAT	ION FOR NUCLEAR RE	SEARCH (CERN) LHCb-DP-2022-002 May 17, 2023	https://a
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	$$\ensuremath{\widehat{\rm o}}$$ 2023 CERN for the ben $$^1\!{\rm Authors}$$ are listed at the end of this po	submitted to J. Instr. efit of the LHCb collaboration. CC1 	IY 4.0 licensel.	10515



All sub-detectors read out at 40 MHz for a fully software trigger



• Target $L_{peak} = 2x10^{33} \text{ cm}^{-2} \text{ s}^{-1}$, pile-up ~5



- Pixel detector VELO with silicon microchannel cooling 5mm from LHC beam
- New RICH mechanics, optics and photodetectors
- New silicon strip upstream tracker UT detector
- New SciFi tracker with 11,000 km of scintillating fibres
- New electronics for muon and calorimeter systems

Major project installed for operation in Run 3

LHCb Upgrade I: Trigger Revolution CERN-LHCC-2014-016 CERN-LHCC-2020-006

- All sub-detectors read out at 40 MHz for a fully software trigger
- Factor of ~ 10 increase expected in hadronic yields at Run 3





- 30 MHz of inelastic collisions will be reduced to ~1MHz by the HLT1 (tracking/vertexing and muon ID) running on GPUs
 - ~ 400 cards
- Highest throughput of any HEP experiment
 - Up to 4 TB/s data rate through Event Builder network.
 - O(4%) of internet traffic in 2022



- Online Align and Calib means...
- Optimal quality reconstruction online in trigger
 - No need for re-reconstruction
 - No need to keep raw data
- Benefits:
 - Expansion of physics programme
 - Large reduction in computing resources (raw data 200kB, triggered objects 15kB)
- Risks:
 - Reprocessing notpossible in case of errors



e.g. VELO alignment performed online in 7mins in Run2

LHCh

CERN-LHCC-2018-014

CERN-LHCC-2018-007

lacksquare



Selective persistence

Only signal decay tracks.... those in cone around... those from same PV.... All tracks in event.... All ECAL clusters....

Real Time Analysis - Computing Resources

CERN-LHCC-2018-014 CERN-LHCC-2018-007



- Real time analysis already extensively used in Run 2
- >70% of events in Upgrade I will use real time analysis

- Efficient use of computing resources
- Focus on bandwidth not event rate
- Minimise expensive disk resource





LHCb Upgrade I: VELO





- Hybrid Pixel Detectors (55µm pitch)
- Close to the LHC beam (5.1 mm)
 - retracted/reinserted each fill
- Innovative silicon microchannel substrate
 - Bi-phase CO₂ cooling
- DAQ capable of handling 40TB/s
- Installation completed May 2022



CERN-LHCC-2013-021

LHC Vacuum Volume Incident in VELO



RF Foil, 150-250µm thick, separates primary and secondary vacuum volumes



- On 10th January 2023 incident occurred due to a failure of the LHC vacuum system at the VELO.
- Detector modules & cooling are not damaged
- The system was returned to a safe situation
- RF foil has undergone plastic deformation
- Replacement in current shutdown would have significantly affected overall LHC programme
- Replace in the shutdown now at the end of 2023
 - schedule: 13 weeks + contingency 3 weeks
- LHCb physics programme in '23 affected as VELO could not be fully closed but opportunities remain

VELO RF Foil Replacement





- Detector halves &
- RF foil are removed in last week by Liverpool team
- Replacement work is proceeding on schedule



LHCb Upgrade I: RICH 1 & 2

CERN-LHCC-2013-022

- Unique particle identification system, key for success of physics programme
- RICH1&2: new photodetector MaPMTs with Increased granularity and 40MHz readout
- RICH1: new design with new optical system with increased focal length, to halve occupancy
- Installation successfully completed Feb. '22





RICH1: MaPMTs installation



RICH2: first rings, LHC October '21 test



LHCb Upgrade I: Upstream Tracker

- 68 staves with silicon strips and integrated cooling, arranged in 4 planes
 - fast pT determination for track extrapolation
 - → reduce ghost track, and improve trigger bandwidth
 - -long-lived particles decaying after VELO (K_S, Λ)
- Installation successfully completed March '23, now commissioning,







CERN-LHCC-2014-001





CERN-LHCC-2014-001

- Large scale tracking stations after magnet
- Scintillating Fibres
 - -250µm diameter, 2.5m long
- Signal readout by SiPMs
 - Operate at -40 C
- 12 layers of mats
- 6 layers of fibres in each mat
 - 12,000 km of fibre !
- Installation completed March '22











LHCb Upgrade I: CALO & Muon

CERN-LHCC-2013-022

- New Electronics readout
- Existing detectors able to stand increased luminosity of Run3
 - Inner ECAL upgrade for LS3

Shashlik Calorimeters

- PMT gains reduced
- New front-end electronics
 with improved S/N and 40MHz readout

Muon stations

- 4 walls equipped with MWPCs, and interleaved with iron filters
- 40Mz readout electronics





Occupancy Muon station 2





LHCb Upgrade I: PLUME & SMOG

CERN-LHCC-2021-002 CERN-LHCC-2019-005

- Systems at the entrance of the VELO are ready to operate
- PLUME luminometer
 - quartz tablets + PMTs
 - online+offline per-bunch luminosity measurement
 - in Global data taking
- SMOG2 gas target
 - New storage cell for the gas upstream of the nominal IP
 - Gas density increased by up to two orders of magnitude → much higher luminosity
 - Gas targets: $He, Ne, Ar + possibly H_2, D_2, N_2, Kr, Xe$
 - Installed & tested
 - Simultaneous p-p and p-gas data taking possible!









LHCb Upgrades

- Physics programme limited by detector, NOT by LHC
- Hence, clear case for an ambitious plan of upgrades



- $\cdot L_{peak} = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- $L_{int} = 50 \text{ fb}^{-1} \text{ during}$ Run 3 & 4
- Healthy competition
 with Belle II at 50 ab⁻¹

Upgrade II

 $\cdot L_{peak} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

- $L_{int} = -300 \ fb^{-1} \ during \ Run \ 5 \ \& \ 6, \ Install \ in \ LS4 \ (2033)$
- Some smaller detector consolidation and enhancements in LS3 (2026)

 $[10^{33} \, \mathrm{cm}^{-2} \mathrm{s}^{-1}]$

Inst. luminosity

Potentially the only general purpose flavour physics facility in world on this timescale













SM destroyed in fiery pit





Technical Design Report



Upgrade II: steps so far

CERN



Expression of Interest



Physics case



Accelerator study



CERN-ACC-NOTE-2018-0038

2018-08-29 Ilias.Efthymiopoulos@cern.ch

LHCb Upgrades and operation at 10¹⁴ cm² s⁴ luminosity -A first study

G. Arduini, V. Baglin, H. Burkhardt, F. Cerutti, S. Claudet, B. Di Girolamo, R. De Maria, I. Efthymiopoulos, L.S. Esposito, N. Karastathis, R. Lindner, L.E. Medina Medrano, Y. Papaphilippou, C.Parkes, D. Pellegrini, S. Redaelli, S. Roesler, F. Sanchez-Galan, P. Schwarz, E. Thomas, A. Tsinganis D. Wollmann, G. Wilkinson CERN, Geneva, Switzerland

Keywords: LHC, HL-LHC, HiLumi LHC, LHCb, https://indico.cern.ch/event/400665



Technical Design Report

LHCC-2017-003

LHCC-2018-027

Opportunities in flavour physics, and

beyond, in the HL-LHC era

CERN-ACC-2018-038

CERN Research Board September 2019

"The recommendation to prepare a framework TDR for the LHCb Upgrade-II was endorsed, noting that LHCb is expected to run throughout the HL-LHC era."

European Strategy Update 2020 "The full potential of the LHC and the HL-LHC, including the study of flavour physics, should be exploited"

LHCC-2021-012

Approved March 2022 R&D programme followed by sub-system TDRs



Physics Case: performance table



Upgrade I will not saturate precision in many key observables

 \Rightarrow Upgrade II will fully realise the flavour-physics potential of the HL-LHC

Koy observables in flavour physics					LHC	10-20
Rey Observal	01621		ivour p	ilysics	upo	dated
			\rightarrow			
Observable	Current	LHCb	Upgr	ade I	Upgrade II	
	(up to 9	fb^{-1})	$(23{\rm fb}^{-1})$	$(50{ m fb}^{-1})$	$(300{ m fb}^{-1})$	
CKM tests						
$\gamma ~(B ightarrow DK, ~etc.)$	4°	[9, 10]	1.5°	1°	0.35°	
$\phi_s \; \left(B^0_s ightarrow J\!/\psi \phi ight)$	$32\mathrm{mrad}$	[8]	$14\mathrm{mrad}$	$10\mathrm{mrad}$	$4\mathrm{mrad}$	
$ V_{ub} / V_{cb} \ (\Lambda_b^0 \to p\mu^-\overline{\nu}_\mu, \ etc.)$	6%	[29, 30]	3%	2%	1%	
$a^d_{ m sl}~(B^0 ightarrow D^- \mu^+ u_\mu)$	36×10^{-1}	4 [34]	$8 imes 10^{-4}$	$5 imes 10^{-4}$	$2 imes 10^{-4}$	
$a^s_{ m sl}~(B^0_s o D^s\mu^+ u_\mu)$	33×10^{-1}	4 [35]	$10 imes 10^{-4}$	$7 imes 10^{-4}$	$3 imes 10^{-4}$	
Charm						
$\Delta A_{C\!P} \ \left(D^0 \to K^+ K^-, \pi^+ \pi^- \right)$	29×10^{-1}	⁵ [5]	13×10^{-5}	8×10^{-5}	$3.3 imes 10^{-5}$	
$A_{\Gamma} \ (D^0 ightarrow K^+ K^-, \pi^+ \pi^-)$	11×10^{-1}	5[38]	5×10^{-5}	$3.2 imes 10^{-5}$	$1.2 imes 10^{-5}$	
$\Delta x \ (D^0 o K^0_{ m s} \pi^+ \pi^-)$	18×10^{-1}	5 [37]	$6.3 imes 10^{-5}$	$4.1 imes 10^{-5}$	$1.6 imes 10^{-5}$	
Rare Decays		_				
$\mathcal{B}(B^0 \to \mu^+ \mu^-) / \mathcal{B}(B^0_s \to \mu^+ \mu^-)$	-) 69%	[40, 41]	41%	27%	11%	
$S_{\mu\mu}~(B^0_s ightarrow\mu^+\mu^-)$	_				0.2	
$A_{ m T}^{(2)}~(B^0 o K^{*0} e^+ e^-)$	0.10	[52]	0.060	0.043	0.016	
$A_{\mathrm{T}}^{\mathrm{Im}} \left(B^0 ightarrow K^{st 0} e^+ e^- ight)$	0.10	[52]	0.060	0.043	0.016	
$\mathcal{A}_{\phi\gamma}^{\hat{\Delta}\Gamma}(B^0_s o \phi\gamma)$	$^{+0.41}_{-0.44}$	[51]	0.124	0.083	0.033	
$S_{\phi\gamma}(B_s^0 o \phi\gamma)$	0.32	[51]	0.093	0.062	0.025	
$lpha_\gamma(\Lambda^0_b o \Lambda\gamma)$	$^{+0.17}_{-0.29}$	[53]	0.148	0.097	0.038	
Lepton Universality Tests						
$R_K \ (B^+ o K^+ \ell^+ \ell^-)$	0.044	[12]	0.025	0.017	0.007	
$R_{K^*} \ (B^0 o K^{*0} \ell^+ \ell^-)$	0.12	[61]	0.034	0.022	0.009	
$R(D^*) \; (B^0 o D^{*-} \ell^+ u_\ell)$	0.026	[62, 64]	0.007	0.005	0.002	

- Full range of beauty & charm mesons & baryons accessible
 - Strong results with π^0 , photons, missing particles reconstruction
 - Beyond Flavour: LHCb as general purpose detector in forward region
 - Spectrocopy, EW precision, dark sector and exotic searches, heavy ions and fixed target physics

Constraining the Unitarity Triangle

- Current data show no significant deviations from the SM on $\Delta F=2$ observables and many other flavour-changing processes
- Either NP is very heavy of it has a highly non trivial structure
 LHCb Upgrade II will test the CKM paradigm with unprecedented accuracy



Arguably the greatest likelihood of a further paradigm shifting discovery at the HL-LHC lies with flavour physics

Beauty and Charm CPV Examples



CP violating phase φ_s

- Sensitive to new physics small and well predicted in SM
- Upgrade II sensitivity below SM prediction in multiple channels

CP violation in charm

 LHCb Upgrade II is the only planned facility with a realistic possibility to observe CPV in charm mixing (at >5 \sigma if present central values are assumed)



Broad programme – Ions, Fixed Target, EW, Dark Sector...

The detector challenge



Targeting same performance as in Run 3, but with pile-up ~40!



Same spectrometer footprint, innovative technology for detector and data processing Key ingredients:

- granularity
- fast timing (few tens of ps)
- radiation hardness



Environmental impact discussed for the first time in a TDR



VErtex LOcator (VELO)

4D Vertexing: Precision Timing





5D Calorimetry: Precision timing



- Goal: achieve energy resolution and reconstruction eff. ~ to Run1&2
 - pile-up, radiation up to 1MGy
- Requires: granularity, precision timing
- Different technologies in different regions
- Crystal fibres R&D for highest fluence regions
- Extensive R&D









Tracker: Rad Hard MAPs, first of kind at LHC

- UT before magnet
- Mighty tracker SciFi+CMOS after magnet
- Monolithic Active Pixel Sensors $(50 \times 150 \mu m^2)$
 - Radiation requirements in UT $3 \times 10^{15} n_{eq}/cm^2$
 - low-cost commercial process, low material budget
- Scintillating fibres in outer region
 - radiation-hard fibres, cryogenic cooling, micro-lens enhanced SiPMs

MightyPix1 1/4 scale chip fabricated





nterface to SciFi modul



Summary



- LHCb physics
 - > 650 papers so far, many more to come from Run 2 analysis
 - -New: $sin(2\beta)$, φ_s
- LHCb Upgrade I
 - Largest CERN particle physics project since LHC completion

LHCb prelimina

- Despite pandemic completed onbudget and in time for Run 3
- LHCb Upgrade II

-project taking shape: Framework TDR approved, R&D setting path to future



Summary

- LHCb physics
 - > 650 papers so far, many more to come from Run 2 analysis
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LHCb

ГНС

Original

2009-2018

- LHCb Upgrade I
 - Largest CERN particle physics project since LHC completion
 - Despite pandemic completed onbudget and in time for Run 3
- Good to be back in the grayhavens of NW! 21:00 11 14° 8°

Upgrade I

2022-2032

LHCb

LHC

- LHCb Upgrade II
 - -project taking shape: Framework TDR approved, R&D setting path to future

Upgrade II

2033-

LHCb

THC

Backup

LHC Schedule





Shutdown/Technical stop Protons physics Ions Commissioning with beam Hardware commissioning/magnet training LS4 extended to allow LHCb Upgrade II installation

Magnet Stations: expanding physics potential



- Low momentum particles swept out by magnet
- Instrument walls of magnet with scintillating bars
- Obtain sub-% momentum measurement
- Significant increase of acceptance for low momentum
- e.g. factor of ~2 gain in prompt D^{*+} with slow π